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1. Introduction

Previously, we developed a method to allow mammographic differential diagnosis based upon the 3-D orientation and morphology of breast calcifications. This method used a limited-view, binary reconstruction technique. In clinical trials, it was shown to be of value in instances where calcifications are associated with a mass. In such cases, we could distinguish between preferentially peripherally distributed calcifications that are predominantly benign and homogeneously distributed calcifications that are more likely to be malignant. We have also been able to elucidate the linear distribution of calcifications contained within a ductal system. Unfortunately, this reconstruction method does not allow one to image non-calcified tissues, or relate calcifications to the surrounding tissue. Thus, in the present study we have developed and propose to compare alternative methods of generating 3-D images of the breast, namely stereoscopy, linear tomosynthesis, limited view image reconstruction using algebraic reconstruction techniques, and micro-CT. Such methods are in theory capable of producing 3-D images of both calcifications and the surrounding breast tissue.

It is our purpose to develop a method that will be clinically viable in terms of dose, image quality and equipment cost. We believe that these proposed developments will further enhance the 3-D imaging and evaluation of breast cancer by allowing the radiologist to view calcifications in relation to the surrounding tissue, and to allow 3-D imaging of non-calcified breast tissues at doses which are clinically acceptable. Stereoscopy has the value of providing depth perception of tissues with little additional dose, however, often the small angle separating the views is insufficient to completely determine the causes of superposition. Tomosynthesis requires more views and potentially higher dose, but provides better separation of tissues. Artifacts from the reconstruction algorithms can blur synthetic tomograms. CT, while providing the best 3-D images requires doses that are not clinically acceptable.

To date, we have developed an imaging system capable of acquiring each of the images required for the different techniques. We are in the process of quantifying the performance of that system, and preparing to acquire specimen images. This work is reviewed in this annual report.

2. Body

2.1. Summary of Work Items

It is useful to restate the work items listed in the original grant. They are as follows:

- Task 1: Develop 3-D imaging techniques (Months 1-24)
 - Subtask 1a: Stereoscopy (Months 1-4)
 - Subtask 1b: Linear Tomosynthesis (Months 5-8)
 - Subtask 1c: Limited View Reconstruction (Months 9-20)
 - Subtask 1d: Computed Tomography (Months 18-24)
- Task 2: Phantom Development (Months 1-24)
- Task 3: Evaluate 3-D imaging methods with phantoms (Months 1-36)
- Task 4: Acquire biopsy specimen image datasets (Months 13-36)
- Task 5: Observer study using specimen images (Months 25-36)

At the current time, tasks 1-3 are ongoing, while tasks 4 and 5 have not yet begun. In the following report, a discussion of the accomplishments for the period of September 15, 1998 until March 2001 will be provided. Due to extensions and delays incurred during the performance of this grant, a detailed summary of the timeline of events affecting this grant will also be offered.

2.2. Development and Evaluation of 3-D Imaging Techniques

There has been considerable interest in imaging the breast in 3-D. This has included numerous radiographic methods including stereoscopy^{1,2}, tomosynthesis³, limited-view reconstruction of calcifications⁴⁻⁶, limited-view tomography reconstruction, and computed tomography⁷. There has also been interest in 3-D ultrasound, 3-D MRI, and other potential methods of imaging the breast in 3-D. However, to date, there has been a sizeable gap between the proposed techniques and clinical feasibility. To achieve clinical feasibility, it is necessary to consider these techniques on the basis of dose, fundamental imaging physics, and technology. This grant was designed to consider 4 such methods and compare them, both on the basis of physical performance and clinical images.

To date, we have had a number of difficulties completing this grant. These difficulties are described in detail in section 2.4. However, significant changes in clinical staff, clinical demands on the time of the participants of this grant, and the sale of a key piece of equipment by Thomas Jefferson University Hospital have all served to retard progress on this grant. In spite of these difficulties, we have been able to develop alternative solutions, and have steadily made progress on the originally proposed work items. The following report details the progress to date, discusses the difficulties experienced, and discusses solutions to these problems.

Image Acquisition Hardware

The grant originally proposed to use two pieces of hardware for the completion of this grant. The first was a prototype device built in Dr. Maidment's laboratory. It consisted of an Eikonix 1412 linear digital camera, coupled to an x-ray image intensifier (XRII) via lenses. These were

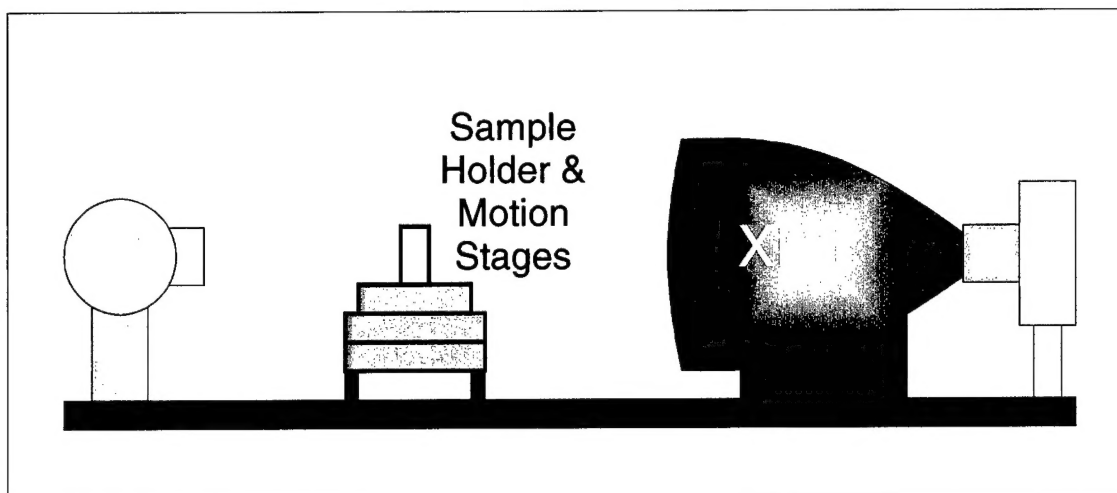


Figure 1 A schematic of the imaging system. The system consists of an x-ray tube, a system of translation and rotation stages for positioning the specimen, an x-ray image intensifier (XRII), lenses and a CCD camera. Pre- and post-object collimation is not shown.

lenses. These were mounted on an optical bench with a Siemens Bi150/30/50R x-ray tube, collimators, and a specimen holder mounted on a rotary motion stage. A Siemens Heliophos 5S generator supplied the x-ray tube. All of the components were connected to a 486-computer running Linux. A schematic of the imaging system is shown in figure 1. This system was intended for preliminary investigations. It had known image quality problems that would prevent it's use through the entire study. Fortunately, a second system existed at that time which had significantly better image quality.

The second system consisted of a Fischer Mammotest stereotactic core biopsy system and a Fischer MammoVision digital x-ray detector (Fischer Imaging, Denver, CO). We have had a long-standing relationship with the Fischer R&D group, and Fischer had for many years provided technical support for this work. The Fischer system was supposed to be modified to include a computer-controlled rotator stage. This would allow us to mount a specimen holder on this stage and use the Fischer system to produce tomographic images. This system was being developed, in part, because of its physical location in the Breast Imaging Center, which is in the same building as our outpatient breast surgery center. We had planned to interview women prior to needle localization, including obtaining informed consent. Then, following their surgery, the surgical specimen would be rapidly imaged on the modified Fischer table. Unfortunately, the hospital sold this unit, August 10th, 1999, just 8 weeks after I had finally found a post-doctoral researcher to fill the position necessary to fulfill the requirements of this grant.

Since that time, we have made a concerted effort to allow this grant to proceed. We have done this in two ways. First, we have altered the original imaging system by replacing the image intensifier and Eikonix camera with two other detectors. We have begun to characterize their performance, and are currently awaiting parts to assemble the final unit and begin more detailed experiments for system characterization, optimization, and tissue imaging. The first of these replacement detectors uses a DRC (DRC is a subsidiary of Hologic, Wilmington, DE) amorphous selenium active matrix detector. The second uses

an SMD (SMD, Fort Collins, CO) 1M30 CCD camera coupled via lenses to a phosphor screen. In the following sections, the performance of the original Eikonix detector is compared with the DRC and the SMD detectors. Then, a summary of the research in the four imaging modalities is presented

Detector Characterization

In each of the designs discussed below, a Siemens Heliophos 5S x-ray generator and a Siemens Bi150/30/50R x-ray tube, a Parker computer controlled rotary stage, and a custom specimen/phantom holder are used. These form the basis of the imaging system being built. They are all mounted on an optical breadboard that is equipped with appropriate rails and mounting hardware. A rotate only geometry is used to acquire images. The setup allows acquisition of either tomographic or stereoscopic images, depending upon the acquisition protocol used, by varying the angle of acquisition and the dose per image. Each detector is capable of acquiring 2-D images, and hence 3-D volume reconstruction is also possible.

Eikonix Detector

The first detector built consisted of an Eikonix 1412 digital linear camera and a Siemens 9"/6" x-ray image intensifier (XRII). Because this was a linear camera, true CT images were acquired differently than the other methods. In the limited view methods (including stereoscopy), 2-D images were acquired by scanning the detector at selected angles. However, due to time constraints, CT images were made in two different ways. Angular sub-sampling allowed us to acquire up to 200 images for 3-D reconstruction. Alternatively, 1-D images could be acquired at a greater number of angles, but only a single 2-D slice of the object would be reconstructed.

This camera was used to develop the image reconstruction algorithms used for the CT images (discussed in detail below). In the original grant application, the CT images that were shown, were of very high contrast objects, and were acquired as 1-D samples to produce a single 2-D slice. A conventional filtered back-projection reconstruction algorithm was used. Since that time, we have altered our image acquisition code to allow 2-D images to be acquired, and now use a modified Feldkemp algorithm to generate a stack of 2-D slices, which are rendered as 3-D volume data sets.

The Eikonix camera has proven to be difficult to work with. A significant problem occurred that related to the IEEE-488 protocol that was used to communicate between the camera and the computer. These problems took approximately 2 months to address. When complete, the image quality was improved, but as we built more challenging phantoms, we found another problem. This related to the use of an XRII in the detector system. We have extensively studied this problem. The problem stems from subtle motion of the image, and regional varying intensity fluctuations in the XRII. The result is circular and cross artifacts illustrated in the reconstructed images shown in figure 2.

When we first noticed this problem, we spoke to both XRII manufacturers and others in the microtomography field. The manufacturers disavowed knowledge of the problem,

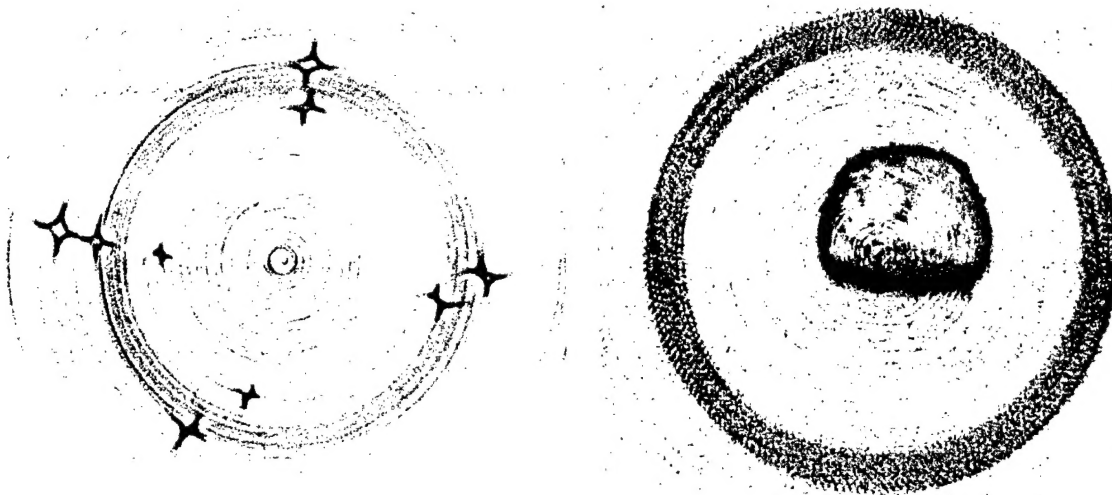


Figure 2 Reconstructed images produced with the Eikonix detector. The left image is a wire phantom designed to test rotational artifacts. Note that the wire is reconstructed with different levels of success (they should look like dots, not crosses). Also note the circular artifacts due to the instability of the XRII. The image on the right is of a fresh chicken thighbone (8.8 mm x 7.4 mm), clearly showing a ring of cortical bone, and details within the medullary cavity. This image still demonstrates subtle circular artifacts that limit the detection of low contrast structures in the bone.

however every other researcher in the field of microtomography who uses an XRII in his/her imaging system, notices similar problems. In our discussions, it became evident that most people solve this problem by using such systems only to image high contrast objects. Since, we had planned from the beginning to image low contrast objects, we needed to seek a different solution. Thus, we decided to change detector designs. We came to this decision in August, 2000.

DRC Detector

At the time of our decision to abandon the Eikonix camera, we considered two possible designs. The first was based upon a DRC active matrix detector that we had in our laboratory. This system has very high modulation transfer function (MTF) (see figure 3), and excellent noise power spectra (NPS) and detective quantum efficiency (DQE). These data were measured in our laboratory. In support of this work, we did extensive modeling and experimentation. This work was reported in Medical Physics in October 2000, a reprint of which is included with this report⁸. The system has been used to acquire tomographic images. Examples are shown in figures 8 and 9 during the discussion of computed tomography

The great strength of this detector is the image quality. We intend to continue to use it for at least some of the experiments to test individual 3-D acquisition methods. However, this detector is very slow, producing one image every 40 to 50 seconds. Thus, even using angular-undersampling, tomographic images produced from 200 individual views take more than 2 hours to acquire. Thus, we had to look for a different detector to perform our CT research.

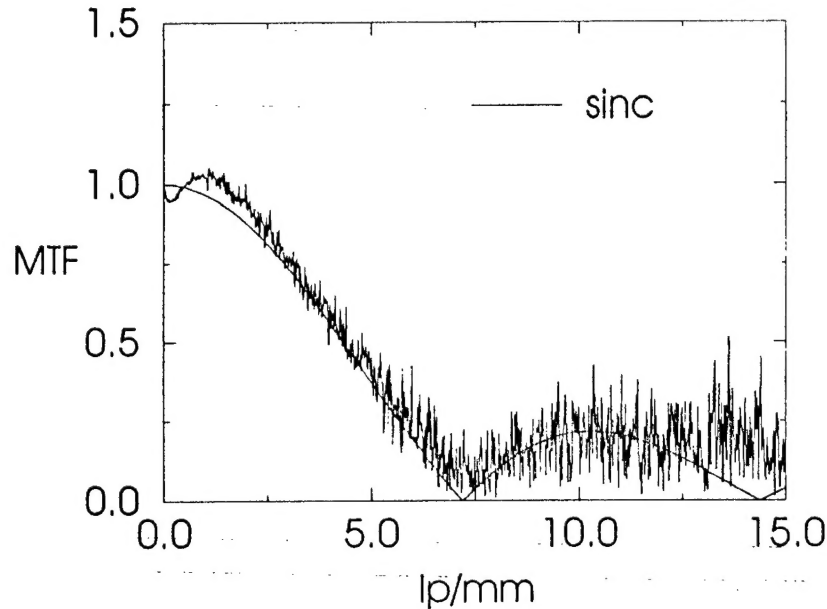


Figure 3 MTF of the DRC detector, compared with the ideal MTF of a sinc function. Note that a small amount of edge enhancement occurs due to the use of an amorphous selenium photoconductor

SMD Detector

The second new detector design was based upon a CCD camera that was coupled with lenses to a phosphor screen. This design allows us to use a very fast camera. The camera we chose is capable of imaging at 30 frames a second. This allows tomographic images to be acquired in as little as 7 seconds for 200 projections to 34 seconds for 1000 projections. However, now we face limitations of the x-ray generator, which will extend that time to a few minutes. The problem with this design is that it is only ever going to be useful as a laboratory system. The use of lenses to couple light from a phosphor screen to a CCD camera is quite inefficient. Our calculations have shown that as little as 2 light quanta per x-ray interaction may be recorded. We have shown previously⁹⁻¹⁰ that this inefficiency can result in needing higher doses for the patients (or test objects). This is not considered a great problem for the experiments currently planned, as we only intend to image tissue samples and inanimate test objects. It is our expectation that a clinical system would use one of the newer active matrix arrays that are capable of 10 to 30 frames per second, and which would be dose efficient.

We obtained a demonstration detector from the manufacturer during the period of December 2000 to February 2001. During that time, we wrote the necessary software to control the camera with the existing x-ray system. We performed preliminary testing of the camera, and were satisfied with the performance. An example of a resolution pattern is shown in figure 4. One tomographic image was also obtained. At the time of this report, the final equipment for this system has been ordered., however, it will not be available until early May 2001. In the interim, we continue to use the DRC detector to perform the Raleigh discrimination task described under the stereoscopy section.

Figure 4 A portion of a resolution phantom, showing a limiting resolution of 11.3 lp/mm, acquired with the SMD detector, a Lanex phosphor screen, and 85mm:55mm relay lenses giving an overall field of view of 20 mm. A subsection of the image is enhanced for printing.

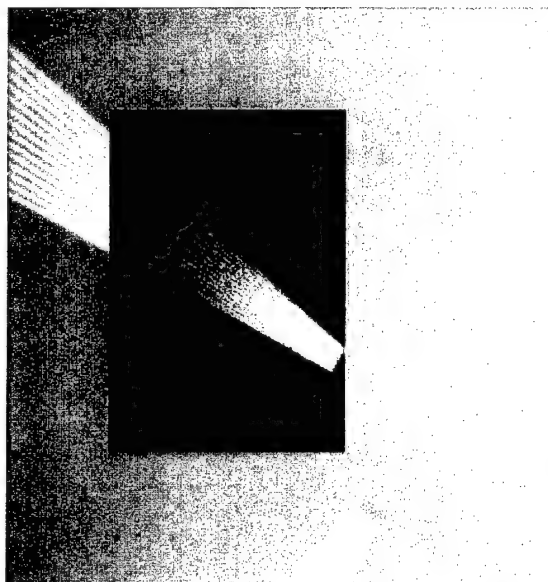


Image Technique Optimization

Stereoscopy

Stereoscopy is the process by which two views of a scene, obtained at slightly different angles (such as that due to the displacement of our two eyes) provides the viewer with the perception of depth (i.e., the ability to discern that one object is behind another). This process can be applied to radiographic imaging to achieve a similar perception of depth. Originally, we proposed to perform a Raleigh discrimination test to determine optimal angular separation for radiographic imaging. Although we have performed a extensive literature search to help design the experiment, the equipment problems described above have delayed the experiment. The experiment is currently ongoing using the DRC detector. It is hoped that it will be complete prior to the arrival of the new SMD camera in May 2001.

Linear Tomosynthesis

We have also performed preliminary experiments with regard to linear tomosynthesis, using the Fischer Mammovision stereotactic breast imaging system, prior to its sale. For each tomosynthetic data set, fifteen images were acquired as the x-ray tube was moved through a 30 degrees arc. The advantage of synthetic tomography over conventional tomography is that the set of 15 images can be used to reconstruct an arbitrary number of planes, while in conventional tomography each image plane requires an additional x-ray exposure

The principles of tomosynthesis are illustrated in figure 5. As the x-ray focus is moved, the imaging plane \mathbf{P} is held fixed. To perform a reconstruction, each x-ray image is viewed as a gray-scale function g_i defined on a region P_r of the imaging plane \mathbf{P} , where i enumerates the x-ray exposure. For each plane \mathbf{Q} parallel to \mathbf{P} in which reconstruction will be performed, each projection position of the x-ray focus defines a one-to-one correspondence of points in the plane \mathbf{Q} with the points in the plane \mathbf{P} . Explicitly, for the

i -th position of the x-ray tube, for each point q in Q , there is a line passing through the focus and q which meets P in a unique point p . Thus for every i there is a "projective" transformation between the points of P and Q . Further, the gray-scale function g_i on P can now be considered as a function g'_i defined in the region Q_i in the plane Q , and the value of the reconstructed gray scale image at a point q is the sum of all the g'_i which are defined at that point. As with conventional tomography, for objects lying within the plane Q , the functions g'_i add coherently to produce a focused image, while for objects outside the plane are blurred.

An example of images of a preliminary tomosynthesis phantom is shown in figures 6 and 7. The phantom consists of Lucite spheres and cubes contained in a water-filled Lucite box. This box is superimposed upon a contrast-detail phantom. In figure 6, three projection images of this phantom are shown. These images demonstrate the overlaying "clutter" of the spheres and cubes that effectively obscure most of the features of the contrast-detail phantom. Even at 10 times the dose this image was acquired at, we could not visualize more than 5 objects of the contrast-detail phantom. In figure 7, we show three reconstructions of the phantom at different heights above the detector. Two sections contain only the acrylic spheres. At a height of 10 mm, however, the contrast-detail phantom can be seen. In this image, 24 contrast-detail elements are visible. The increase in detection is due to the reduction in the overlaying clutter. Thus, reduction of this structural noise results in an increase in the effective SNR of the contrast-detail elements, without requiring an increase in dose.

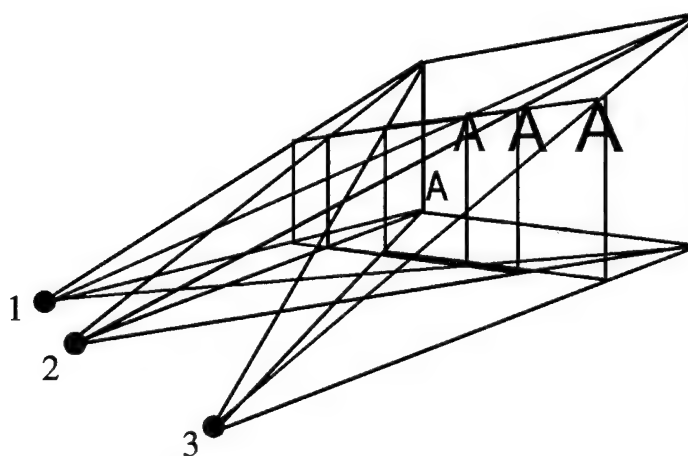


Figure 5 An x-ray tube is shown at three locations (1,2,3); the imaging plane, P , is held fixed. An image of the letter "A", located in a plane Q is projected to three different locations on the detector. Note that the individual regions Q_i overlap.

With regard to our process, the tomosynthesis image display software is complete. Image reconstruction software has been written, but further refinements are necessary, including removal of edge artifacts in the reconstructed images. Image acquisition optimization and image processing optimization also must still be completed.

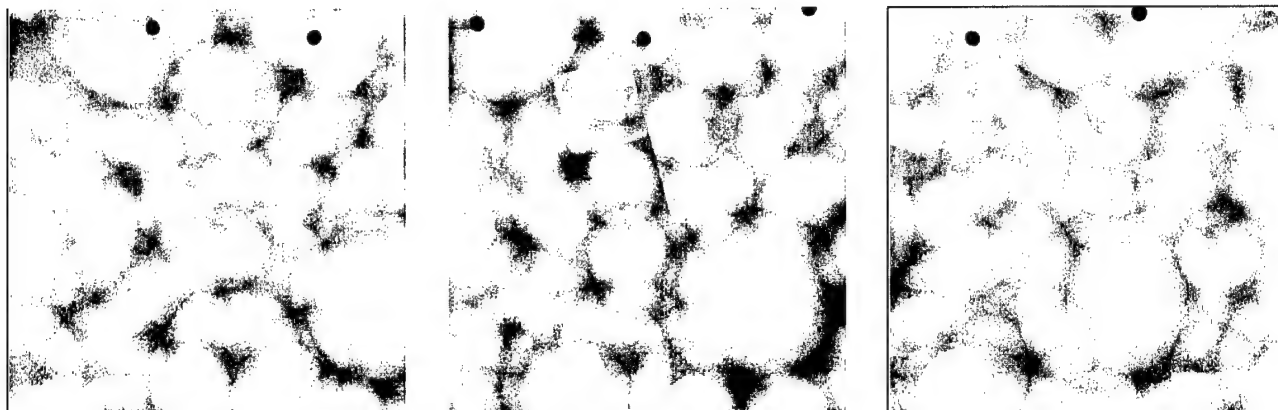


Figure 6 Three source images of a tomosynthesis phantom. The lead BB's on the top edge act as fiducial markers for determining the angle of the image. The phantom consists of lucite cubes and spheres in a water bath. Attached is a contrast detail phantom. The low contrast elements of the contrast detail phantom are not readily seen.

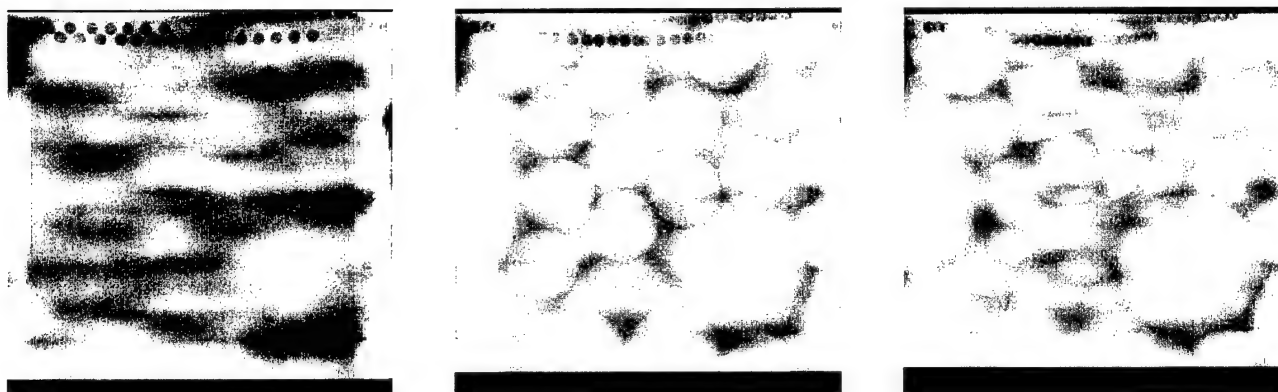


Figure 7 The tomosynthetic images of the phantom shown in figure XX. The phantom is shown at three different depths, including one the incorporates the contrast-detail elements (leftmost). Numerous elements are now visible

Limited-View Reconstruction

We have performed an extensive literature search and have learnt the science of tomographic reconstruction, however little experimental work has occurred with regard to this work item to date

Computed Tomography

A computed microtomography system has been built to image breast specimens. The system is currently under evaluation. As discussed above, the system has been built so that it can accommodate two different types of detectors, a DRC active matrix detector, and one based on a phosphor screen optically-coupled to a CCD camera. The DRC

detector is complete, while the CCD based detector will be complete in May 2001 (a prototype built with loaned equipment was tested between December 2000 and February 2001).

We have yet to finalize the data acquisition parameters. We are evaluating both conventional and fully 3-D CT image acquisition methods. Experimentally, we have acquired images using between 200 and 1000 projections, rotating over an angle of 360° . Given the acquisition geometry used, there is a minimum number of projections that are required to avoid undersampling the projection space. This number depends upon many factors including the object size and detector pitch. However, undersampling generally only causes artifacts in very highly attenuating objects such as bone. Thus, given the low attenuation of breast tissues, undersampling is likely to be less of a problem. This is one of the justifications of considering limited view reconstruction techniques later in the grant.

For performing simple axial reconstructions, the projection data are reconstructed using a filtered back-projection algorithm (RECLBL, Donner Laboratory). A Hanning filter is used in the reconstruction. Prior to reconstruction, the projection data are corrected for pixel-to-pixel variations in the detector response, fluctuations in x-ray exposure between projections, and error in the center of rotation.

Numerous phantoms have been constructed and imaged. Two are shown in figure 8. Shown are a tomographic resolution test object and a uniformity test object. The resolution test object has 5 rows of holes, varying in size from $1/16''$ to $1/64''$. All are clearly visible. The uniformity test object (right) consists of a Lucite cylinder $1''$ in diameter. The image demonstrates a very uniform background without cupping or other

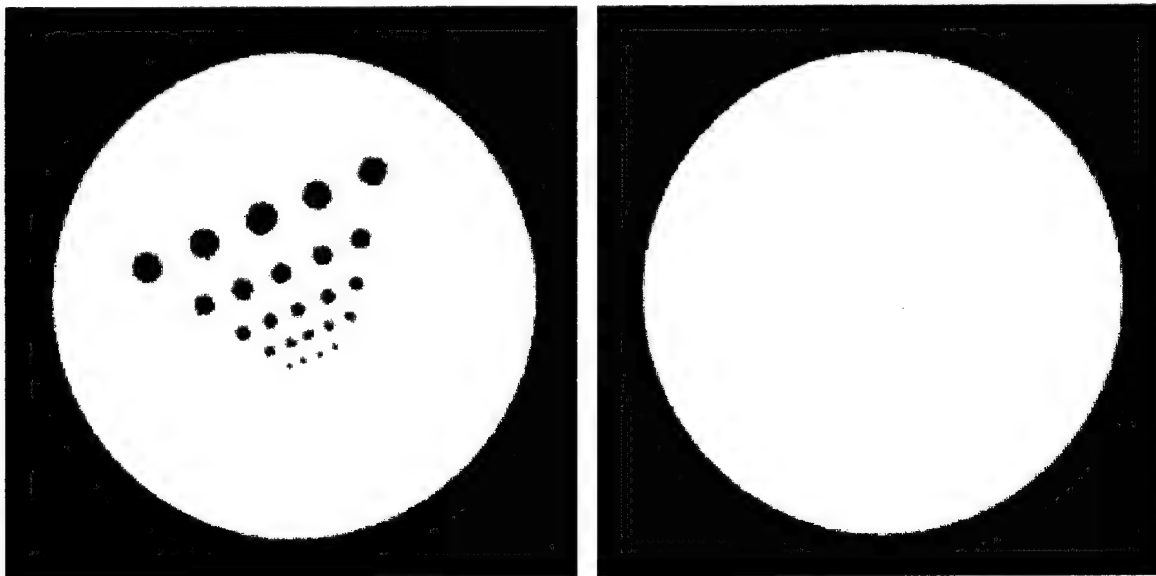


Figure 8 Two reconstructed axial images, acquired with a CT scanner based on the DRC detector. The image on the left shows a resolution phantom, with elements of size $1/16''$ to $1/64''$ all visible. On the right is a uniform lucite phantom, clearly showing the image uniformity and low noise that is achievable.

artifacts. These images show the excellent potential of using the DRC detector to produce tomographic images.

Shown in figure 9 are some preliminary 3-D images of biological tissue. The 3-D images are rendered in two different ways, surface rendering and volume rendering. Both show exquisite detail. We believe that these are the first CT images of *periplaneta Americana*. We chose to image this species due to its ready availability and due to the size of the anatomic structures. Simply stated, this represents a hard imaging task. Attention should be paid to the quality of the reconstruction of the leg muscles. Note that the muscle fibers inside the insect's exoskeleton are clearly visible and discernable. Similarly, part of the exoskeleton is seen. The muscles are less than 1 mm in diameter and less than 200 microns separate them at their closest point.



Figure 9 Surface rendered (left), and volume rendered (right) microtomography images of species *periplaneta Americana* (common American cockroach), acquired with the DRC detector. The insect is approximately 25 mm long. The muscle fibers and the exoskeleton of the legs are clearly visible.

2.3. Discussion and Summary of Scientific Results

An experimental framework for performing 3-D imaging of the breast has been developed. This framework consists of an x-ray generator, x-ray tube, collimators, and rotary stage for holding specimens or phantoms. Each of the components is under computer control. The framework is designed to use standard optical mounting hardware and an optical breadboard to allow testing of many different detectors and acquisition protocols.

We have developed and begun to characterize two detectors that will replace the detectors originally proposed for use in the grant. The first detector is an active matrix amorphous-selenium device, and second uses a phosphor screen optically coupled to a CCD camera. The first device has excellent dose efficiency (DQE) and resolution, but is too slow to allow easy tomographic imaging. The latter device again has excellent resolution, but lacks the dose efficiency of the flat panel detector. This detector does, however, have the temporal response needed to perform CT.

Initial investigations of the four reconstruction methods have been delayed due to the sale of the original detector system by Thomas Jefferson University Hospital. However, the new detectors will both be working soon, and work on the reconstruction methods can then proceed.

Due to the sale of the Fischer Mammotest system, it will be necessary to alter the original work plan. The new detectors are not physically near the breast imaging and breast surgery centers. As such, we will not be able to image specimens as then come from surgery. Instead, we will image mastectomy and cadaveric breast tissue.

2.4. Discussion of Administrative Issues

At this time, Dr. Maidment and researchers in his lab are effectively working towards completing the originally proposed work items. It is useful, however, to discuss the timeline and events that have shaped the life of this research project. When first proposed, the work was to be performed by Andrew Maidment (PI), Michael Albert (research assistant), and Emily Conant (clinical collaborator). Two additional radiologists, and a pathologist were included to provide additional clinical assistance when necessary. Prior to beginning the grant Dr. Conant left Thomas Jefferson University. This was a major loss as Dr. Conant, among all of the radiologists at Thomas Jefferson University Hospital, was most familiar with the project and had previously contributed most to the project. We have never since had a radiologist with her clarity of insight into this clinical problem.

Next, since the departure of Dr. Conant, we have had a number of additional people leave the TJUH breast center, including Dr. Stephen Feig, Dr. Dionne Farria, Dr. Jane Hughes, Dr. Stephen Lee, Dr. Steven Nussbaum, Dr. Barbara Cavanaugh, and others. This has had a negative impact on all of the research being performed at the breast center. As previously communicated to you, Dr. Catherine Piccoli assumed Dr. Conant's duties after Dr. Conant's departure, and Dr. Farria was added to the grant. After Dr. Farria left Jefferson, her position on the grant was not filled by another person.

Further, due to clinical duties, Drs. Maidment and Albert were expending essentially 100% of their time in support of clinical medical physics and PACS at Jefferson. The department acknowledged these issues, and in November 1998 hired a person to assist Dr. Maidment. No salary was drawn on the grant until November 1998. In spite of this assistance, Dr. Maidment still spends at least 40% of his time clinically. In addition, Dr. Albert had sufficient time commitments that it was necessary to hire a post-doc to assist with this grant. Finding an appropriate post-doc took more than a year. However in June 1999, Dr. Predrag Bakic began working on this project.

Most importantly, however, Thomas Jefferson University Hospital sold a key piece of research equipment on August 10th, 1999 against Dr. Maidment's wishes. This device, the Fischer Mammotest/MammoVision digital x-ray imaging system was supposed to have been used in virtually all of the experiments planned for this grant. The Radiology department has offered to help by providing some resources that would offset the capabilities lost with the sale of the Fischer system. However, as of this date (19 months later), no additional resources have been provided.

As a result, Dr. Maidment has sought other methods of producing tomographic images. He has acquired a DRC active matrix detector and a related computer from the manufacturer, and he has also used part of the capital equipment budget of the grant to purchase an SMD 1M30 30-fps 12-bit digital CCD camera, and Pentium-class computer with fast image acquisition and storage capabilities. During a trial period for this camera (December 2000 – February 2001), the SMD camera was extensively tested and software to operate the camera was written.

Thus, at this time, Dr. Maidment has effectively equipped his lab with the equipment necessary to complete the work in this grant. Dr. Maidment is currently negotiating with the radiology department for a commitment to cover the salaries of participants in this grant during a 1 year extension to this grant, which we will submit to the DOD shortly.

One of the consequences of the sale of the Fischer system is that it will no longer be possible to acquire images of tissue specimens of women undergoing surgical breast biopsies. Pending approval of the program office of the DOD, it is our intention to use tissue from mastectomy specimens (after pathological examination), or to use cadaveric breast tissue. We have not yet sought permission from either the IRB or the other departments involved (surgery, pathology, and anatomy). These negotiations will begin in the near future, pending DOD approval.

In spite of these setbacks, Dr. Maidment still wishes to complete this research. The scientific questions that were proposed in the grant proposal are still relevant. No other research group has tackled these questions. The following work remains: optimization of image acquisition techniques, selection of appropriate tissue samples, imaging and evaluation of soft-tissue images.

3. Key Research Accomplishments

The following is a list of key research accomplishments resulting from this work:

- Developed a generic testbed for 3-D imaging research, consisting of x-ray generator, rotary stage, specimen/phantom holder, and detector assembly.
- Developed three different detectors
 - the original was based on an Eikonix linear CCD coupled to an XR11
 - the second was based on a DRC active matrix detector
 - the third was based on an SMD 30 fps CCD camera
- Developed stereotactic, tomosynthetic, and volume rendering display software
- Developed theoretical framework for measuring and calculating the physical performance of aliasing and non-aliasing digital x-ray detectors.
- Begun optimization of stereoscopy
- Begun characterization and optimization of microtomography

4. Reportable Outcomes

a) Published Manuscripts

Michael Albert, and Andrew D.A. Maidment. Linear Response Theory for Detectors Consisting of Discrete Arrays. Medical Physics, 27(10), 2417-2434, October 2000.

b) Abstracts and Presentations

A.D.A. Maidment, 3-D Imaging of the Breast. 6th International Cambridge Conference on Breast Cancer Screening. Cambridge, England. April 14, 1999. (Invited Presentation)

A.D.A. Maidment. "3-D Imaging of the female breast". Imaging 2000, Stockholm, Sweden, June 29, 2000. (Invited Presentation)

A.D.A. Maidment, P. Bakic and M. Albert. "3-D Digital Mammography: A Comparison of Image Reconstruction Methods". DOD Era of Hope, Atlanta, GA, June 8-11, 2000.

c) Funding Applications

None

5. Conclusions

Initial investigations of the four proposed reconstruction methods have been delayed due to the sale of the original detector system by Thomas Jefferson University Hospital. To overcome this deficit, an experimental framework for performing 3-D imaging of the breast has been developed. This framework consists of an x-ray generator, x-ray tube, collimators, and rotary stage for holding specimens or phantoms. Each of the components is under computer control. The framework is designed to use standard optical mounting hardware and an optical breadboard to allow testing of many different detectors and acquisition protocols.

We have developed and begun to characterize two detectors that will replace the detectors originally proposed for use in the grant. The first detector is an active matrix amorphous-selenium device, and second uses a phosphor screen optically coupled to a CCD camera. The first device has excellent dose efficiency (DQE) and resolution, but is too slow to allow easy tomographic imaging. The latter device again has excellent resolution, but lacks the dose efficiency of the flat panel detector. This detector does, however, have the temporal response needed to perform CT.

Due to the sale of the Fischer Mammotest system, it will be necessary to alter the original work plan. The new detectors are not physically near the breast imaging and breast surgery centers. As such, we will not be able to image surgical specimens. Instead, we will image mastectomy and cadaveric breast tissue.

The delays introduced by the sale of the equipment, and personnel issues have sufficiently delayed the completion of this grant that a no-cost 1 year extension will be needed. An application for this extension will be submitted shortly.

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7. Appendices

A copy of the following published manuscript is included.

Michael Albert, and Andrew D.A. Maidment. Linear Response Theory for Detectors Consisting of Discrete Arrays. *Medical Physics*, **27**(10), 2417-2434, October 2000.

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Linear response theory for detectors consisting of discrete arrays

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Linear response theory for detectors consisting of discrete arrays

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The optical transfer function (OTF) and the noise power or Wiener spectrum are defined for detectors consisting of a lattice of discrete elements with the assumptions of linear response, Gaussian statistics, and stationarity under the discrete group of translations which leave the lattice fixed. For the idealized classification task of determining the presence or absence of a signal under signal known exactly/background known exactly (SKE/BKE) conditions, the Wiener spectrum, the OTF, along with an analog of the gray-scale transfer characteristic, determine the signal-to-noise ratio (SNR), which quantifies the ability of an ideal observer to perform this task. While this result is similar to the established result for continuous detectors, such as screen-film systems, the theory of discrete lattices of detectors must take into account the fact that the lattice only supports a bounded but (in the limit of a detector of arbitrarily great extent) continuous range of frequencies. Incident signals with higher spatial frequencies appear in the data at lower aliased frequencies, and there are pairs of signals which are not distinguishable by the detector (the SNR vanishes for the task of distinguishing such signals). Further, the SNR will in general change if the signal is spatially displaced by a fraction of the lattice spacing, although this change will be small for objects larger than a single pixel. Some of the trade-offs involved in detectors of this sort, particularly in dealing with signal frequencies above those supported by the lattice, are studied in a simple model.

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Key words: image theory, MTF, DQE, Wiener spectrum, digital detectors

I. INTRODUCTION

The importance of signal detection theory in quantifying the performance of medical imaging systems (x-ray screen-film imaging being perhaps the best example) gives impetus to applying the same techniques to the digital radiographic imaging systems which are now coming into clinical use. As applied to screen-film systems, signal detection theory requires three assumptions to be at least approximately fulfilled: that the detector responds linearly to the incoming signal, and is both stationary and homogeneous (i.e., both the detector response and the additive noise are translationally invariant). One can then summarize the response of the system in terms of the gray-scale transfer characteristic, the optical transfer function (OTF), and the noise power or Wiener spectrum.

The digital x-ray imaging systems which are now appearing generally behave as a lattice of discrete detector elements. Although digital, these detectors are generally operated under conditions such that the effects of quantization are negligible. When compared to screen-film systems, these detectors tend to be linear over a wider range of exposures. Like screen-film, for low-contrast signals the noise is approximately additive and Gaussian. However, as the size of the imaging elements is now comparable to the size of some of the smaller objects which are of clinical interest (around 0.1 mm), these detectors are not strictly homogeneous in that translations by a fraction of the lattice spacing result in the signal being recorded in a different manner. As these devices generally consist of a regular lattice of sensitive elements, they still possess a symmetry with respect to a discrete group

of translations. This symmetry is approximate due to the finite extent of physical detectors. However, as in the theory of screen-film systems, corrections for the limited extent of the detector are negligible for many practical applications. Thus, one can apply Fourier techniques to put the signal detection theory of such devices in a form which is both tractable and similar to the theory of screen-film systems. Instead of using a continuous Fourier transform, one uses a discrete space Fourier transform, which recodes the data acquired by the detector at a discrete lattice of positions in terms of a bounded and continuous range of spatial frequencies.

For screen-film systems, the OTF diagonalizes the linear operator which relates the input signal to the output. As detailed below, for discrete-array detectors the effects of aliasing introduce a null space, different for each device, which prevents this operator from being diagonalized using a basis common to all devices, but the OTF represents the operator in a basis in which it is sparse in the sense that all terms vanish except those between input and output spatial frequencies which are equal or aliased. The Wiener spectrum is the discrete space Fourier transform of the discrete autocovariance function, and thus is also defined in the region of frequency space which the lattice supports. As in the case of continuous detectors, for low-contrast objects (so that responses are approximately linear), these quantities determine the signal-to-noise ratio (SNR) which is an appropriate figure-of-merit for the classification task of discriminating between the presence or absence of an exactly known signal against an exactly known background (SKE/BKE).

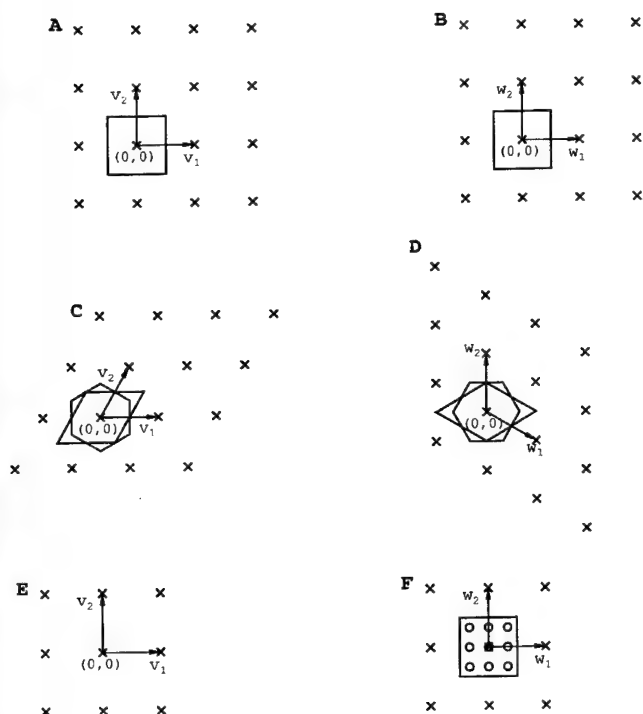


FIG. 1. (a) a rectangular lattice. (b) The reciprocal lattice of (a). (c) A hexagonal lattice. (d) the reciprocal lattice of (c). Note that (a), (b), (c), and (d) represent a finite region of a lattice which covers the entire plane. (e) A 3×3 finite rectangular lattice. (f) The circles represent the frequencies used in the finite Fourier transform of (e). For comparison, a unit cell of the full reciprocal lattice is shown. See Sec. III for details.

unit cell (parallelogram or hexagon) and Fig. 1(d) shows the reciprocal lattice (note that \mathbf{v}_1 is perpendicular to \mathbf{w}_2 and \mathbf{v}_2 is perpendicular to \mathbf{w}_1). The area $|A| = |\mathbf{v}_1 \times \mathbf{v}_2|$ of a unit cell is independent of the choice of unit cell, since it is fixed by the average density of lattice points over large regions. The area of the unit cell of the reciprocal lattice, $|K| = |\mathbf{w}_1 \times \mathbf{w}_2|$, is inversely proportional to $|A|$, as can be seen by

$$|A||K| = \left| \det \begin{pmatrix} (\mathbf{v}_1)_x & (\mathbf{v}_1)_y \\ (\mathbf{v}_2)_x & (\mathbf{v}_2)_y \end{pmatrix} \det \begin{pmatrix} (\mathbf{w}_1)_x & (\mathbf{w}_2)_x \\ (\mathbf{w}_1)_y & (\mathbf{w}_2)_y \end{pmatrix} \right| \quad (19)$$

$$= \left| \det \begin{pmatrix} \mathbf{v}_1 \cdot \mathbf{w}_1 & \mathbf{v}_1 \cdot \mathbf{w}_2 \\ \mathbf{v}_2 \cdot \mathbf{w}_1 & \mathbf{v}_2 \cdot \mathbf{w}_2 \end{pmatrix} \right| \\ = \left| \det \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right| = 1, \quad (20)$$

making use of the fact that the determinant of a product of matrices is equal to the product of the determinants and Eq. (18).

For any function $g(m_1, m_2)$ of the lattice, the discrete space Fourier transform is defined^{12,13} as

$$\hat{g}(\mathbf{f}) = |A| \sum_{m_1, m_2} g(m_1, m_2) e^{-2\pi i \mathbf{f} \cdot \mathbf{r}_{m_1, m_2}} \quad (21)$$

for all spatial frequencies \mathbf{f} . This definition is equivalent to evaluating the z -transform on the unit circle in the complex plane.^{12,15} It is also equivalent to the Fourier transform of the function obtained from the data set by interpolation with

sinc functions (e.g., Ref. 16, p. 230). Direct calculation from Eq. (21) gives

$$\hat{g}(\mathbf{f}) = \hat{g}(\mathbf{f} + m_1 \mathbf{w}_1 + m_2 \mathbf{w}_2), \quad (22)$$

which shows that \hat{g} is periodic in Fourier space for displacements in the dual lattice and one need only consider values of \hat{g} on one unit cell of this lattice. Any frequency \mathbf{f} outside of this unit cell is an alias of a frequency \mathbf{f}' inside the cell, with $\mathbf{f} - \mathbf{f}'$ in the reciprocal lattice. Viewed another way, the reciprocal lattice divides points in the frequency plane into equivalence classes of points, two points being equivalent if and only if they are separated by a vector in the reciprocal lattice. Any unit cell will contain exactly one point from each equivalence class (except for boundaries), and knowledge of \hat{g} on the unit cell determines \hat{g} on the entire plane. Alternatively, one can consider \hat{g} as being defined on the topological "quotient space," a torus, just as one can consider a function on the real line with period 2π as defined on the unit circle (Ref. 17, p. 155).

The exponential functions in the discrete Fourier transformation satisfy a simple orthogonality condition

$$\iint_K d^2 \mathbf{f} e^{-2\pi i \mathbf{f} \cdot \mathbf{r}_{m_1, m_2}} e^{2\pi i \mathbf{f} \cdot \mathbf{r}_{n_1, n_2}} = |K| \delta_{m_1, n_1} \delta_{m_2, n_2}, \quad (23)$$

where K is the region corresponding to the unit cell of the reciprocal lattice in the frequency plane and $|K|$ is the area of this region, thus giving

$$g(m_1, m_2) = \iint_K d^2 \mathbf{f} \hat{g}(\mathbf{f}) e^{2\pi i \mathbf{f} \cdot \mathbf{r}_{m_1, m_2}} \quad (24)$$

as the inverse transform. The complex exponentials form a complete set of orthogonal functions, so that any appropriate periodic function of frequency \mathbf{f} can be represented in terms of them. The completeness can also be expressed in terms of a comb function as

$$\sum_{m_1, m_2} e^{2\pi i (\mathbf{f} - \mathbf{f}') \cdot \mathbf{r}_{m_1, m_2}} = |K| \sum_{k_1, k_2} \delta(\mathbf{f} - \mathbf{f}' - \mathbf{k}_{k_1, k_2}), \quad (25)$$

where the equality is interpreted in terms of distributions and the sum on the right-hand side is over the frequencies in the reciprocal lattice.^{8,16,17}

For actual finite data sets, one applies the finite Fourier transformation. The discrete space Fourier transformation can be interpreted as a limit of the finite Fourier transformation as the number of equally spaced points in the data set is increased. Specifically, consider a bounded subset of the $\{\mathbf{r}_{n_1, n_2}\}$ such as \mathcal{R} of the form

$$\mathcal{R} = \{\mathbf{r}_{n_1, n_2} | N_1 \leq n_1 < N'_1, N_2 \leq n_2 < N'_2\}, \quad (26)$$

for which the finite Fourier transform and its inverse are given by

$$\hat{g}(l_1, l_2) = \sum_{\mathbf{r}_{n_1, n_2} \in \mathcal{R}} g(n_1, n_2) e^{-2\pi i \mathbf{l}_{l_1, l_2} \cdot \mathbf{r}_{n_1, n_2}}, \quad (27)$$

$$g(n_1, n_2) = \frac{1}{\Delta N_1 \Delta N_2} \sum_{\mathbf{l}_{l_1, l_2} \in \mathcal{R}} \hat{g}(l_1, l_2) e^{2\pi i \mathbf{l}_{l_1, l_2} \cdot \mathbf{r}_{n_1, n_2}}, \quad (28)$$

where $\Delta N_i = N'_i - N_i$. The points in the Fourier space are given by

$$\mathbf{f}_{l_1, l_2} = \frac{l_1}{\Delta N_1} \mathbf{w}_1 + \frac{l_2}{\Delta N_2} \mathbf{w}_2 \quad (29)$$

and

$$\mathcal{R} = \{\mathbf{f}_{l_1, l_2} | L_1 \leq l_1 < L'_1, L_2 \leq l_2 < L'_2\}, \quad (30)$$

where the L 's are chosen so that $L'_i - L_i = N'_i - N_i$. The reciprocal relationship [Eq. (18)] between the basis vectors $\{\mathbf{v}_i\}$ and the dual basis vectors $\{\mathbf{w}_i\}$ gives

$$\begin{aligned} \mathbf{f}_{l_1, l_2} \cdot \mathbf{r}_{n_1, n_2} &= \left(\frac{l_1}{\Delta N_1} \mathbf{w}_1 + \frac{l_2}{\Delta N_2} \mathbf{w}_2 \right) \cdot (n_1 \mathbf{v}_1 + n_2 \mathbf{v}_2) \\ &= \frac{l_1 n_1}{\Delta N_1} + \frac{l_2 n_2}{\Delta N_2}, \end{aligned} \quad (31)$$

which, along with choosing $N'_i = -N_i = N_o/2$ and $L_i = -L'_i = N_o/2$ for N_o even, produces a more conventional representation of the finite Fourier transform.

As the number of data points $\Delta N_1 \Delta N_2$ increases, the spacing between the frequencies \mathbf{f}_{l_1, l_2} decreases, so that in the limit the data points on the lattice extend across the entire plane and the frequency values fill a unit cell of the reciprocal lattice. The finite sum in the FFT [Eq. (27)] approximates (with a factor of $|A|$) the infinite sum in the DFT [Eq. (21)], and for the inverse transform the sum in Eq. (28) (with the introduction of a factor of $|A||K|=1$) becomes

$$\begin{aligned} g_{\text{FFT}}(n_1, n_2) &= \sum_{\mathbf{f}_{l_1, l_2} \in \mathcal{R}} \frac{|K|}{\Delta N_1 \Delta N_2} (|A| \hat{g}_{\text{FFT}}(l_1, l_2)) \\ &\quad \times e^{2\pi i \mathbf{f}_{l_1, l_2} \cdot \mathbf{r}_{n_1, n_2}}, \end{aligned} \quad (32)$$

which approximates the integral used in the inversion of the discrete space Fourier transform, Eq. (24). To illustrate this concept, Fig. 1(e) shows a small rectangular lattice (corresponding to $N_i = -1, N'_i = 2$). The circles in Fig. 1(f) represent the corresponding frequency vectors for use with the finite Fourier transform. The box shows the region which would correspond to a unit cell of the reciprocal lattice if the lattice in Fig. 1(e) were extended to an infinite lattice. If the finite lattice shown in Fig. 1(e) were extended (but still finite), the corresponding frequency vectors of the finite Fourier transform would fill the unit cell more and more densely.

It should be noted that if ΔN_1 or ΔN_2 are even, then some of the frequencies at which the finite Fourier transform is defined [shown as the circles in Fig. 1(f)] would lie on the boundary of the unit cell, and such frequencies would have aliases which also lie on the boundary. For example, in the square lattice considered in Fig. 1(f), if one of the frequencies at which the finite Fourier transform is defined fell on the edge of the unit cell, an alias of that frequency would lie on the opposite edge, and a frequency on any corner would be aliased with all of the other corners. In certain sums over frequency components, such as Eq. (28), it is useful to adopt the convention that such sums include exactly one representative from each class of aliased frequencies, so that frequencies falling on the boundary of the unit cell are not counted multiple times. If one uses the "quotient space" point of

view, this follows automatically as the aliases correspond to a single point in the quotient space. Alternatively, one might weigh each frequency by a factor (1/2 for frequencies lying on edges and 1/4 for corners) so that each class of aliased frequencies has a total weight of 1 (similar to counting fractional atoms when reckoning the number of atoms in a unit cell of a crystal).

The results pertaining to Fourier transformations and dual lattices which are reviewed in this section have direct generalizations to any number of dimensions, but as the statement of the results for arbitrary finite dimension would be notationally cumbersome, only the two-dimensional results have been explicitly stated. For notational convenience, let \mathbf{m} represent the ordered pair m_1, m_2 , so that $g(\mathbf{m}) = g(m_1, m_2)$ and $\mathbf{r}_{\mathbf{m}} = \mathbf{r}_{m_1, m_2}$, and similarly for \mathbf{k} , e.g., $\mathbf{f}_{\mathbf{k}} = \mathbf{f}_{k_1, k_2}$.

IV. TRANSFER FUNCTION

The analog of the optical transfer function, which relates the response of the detector to the input signal in frequency space, can now be defined. The input signal $\langle I \rangle$ is a continuous function of the plane. As $\langle I \rangle$ is defined relative to the "flat-field," it is reasonable to assume that $\langle I \rangle$ has compact support, or at least vanishes sufficiently quickly at infinity to leave the quantities considered here well defined. Thus the Fourier transform $\langle \hat{I} \rangle$ is a continuous function of the entire frequency plane. The data $D(\mathbf{r}_{\mathbf{m}})$ are well-defined only at the discrete lattice points $\mathbf{r}_{\mathbf{m}}$, so that the discrete space Fourier transform $\langle \hat{D}(\mathbf{f}) \rangle$ is determined by its values in one unit cell of the reciprocal lattice. Values of $\langle \hat{D} \rangle$ outside of the first unit cell are determined by the periodicity relative to the reciprocal lattice and contain no new information. For spatial frequencies inside the first unit cell, the detector responds at the same frequency as the input signal. For frequencies outside of the first unit cell, the detector responds at an aliased frequency, so it is impossible to uniquely determine the input signal without additional information, although it will be argued in later sections that for reasonable tasks this is not a significant problem.

Each point on the detector grid is assumed to respond linearly to the incident signal, so that the analog of Eq. (1) is

$$\langle D(\mathbf{r}_{\mathbf{m}}) \rangle = \Gamma \iint d^2 \mathbf{r}' P(\mathbf{r}_{\mathbf{m}}, \mathbf{r}') \langle I(\mathbf{r}') \rangle, \quad (33)$$

where P , the analog of the point spread function, represents the response of the detector at $\mathbf{r}_{\mathbf{m}}$ to x-ray light incident at \mathbf{r}' , and Γ is a constant for converting x-ray intensity into digital values, generally chosen so that the integral of P with respect to \mathbf{r}' is unity. With a discrete detector, one no longer has full translational invariance, but there remains an invariance under translations which take lattice points to lattice points, assuming that each pixel is identical except for position. Thus we can write

$$P(\mathbf{r}_{\mathbf{m}}, \mathbf{r}') = P(\mathbf{r}_{\mathbf{m}} - \mathbf{r}'), \quad (34)$$

to indicate that the response of a detector element to an input signal depends upon the displacement of the detector ele-

ment from the region to which the signal is applied, but not upon the absolute position of the detector element or the signal, from which it follows^{18,19} that

$$\langle D(\mathbf{r}_m) \rangle = \Gamma \int \int d^2 \mathbf{r}' P(\mathbf{r}_m - \mathbf{r}') \langle I(\mathbf{r}') \rangle \quad (35)$$

for each position \mathbf{r}_m of the sensitive elements on the lattice. While the data $D(\mathbf{r}_m)$ are only available at the lattice points, the convolution can be calculated at any point, so that

$$\mathcal{D}(\mathbf{r}) = \Gamma \int \int d^2 \mathbf{r}' P(\mathbf{r} - \mathbf{r}') \langle I(\mathbf{r}') \rangle, \quad (36)$$

$$\hat{\mathcal{D}}(\mathbf{f}) = \Gamma \hat{P}(\mathbf{f}) \langle \hat{I}(\mathbf{f}) \rangle, \quad (37)$$

serves as a definition of $\mathcal{D}(\mathbf{r})$ for any position \mathbf{r} . Although $\mathcal{D}(\mathbf{r})$ is equal to the data $\langle D(\mathbf{r}_m) \rangle$ at the lattice points where $\mathbf{r} = \mathbf{r}_m$, at other points $\mathcal{D}(\mathbf{r})$ is an interpolation which will not in general represent a physical quantity, although it is sometimes useful to think of $\mathcal{D}(\mathbf{r})$ as the response of a virtual sensitive element added to the detector at position \mathbf{r} in such a manner as to not perturb or be perturbed by the other elements. The discrete space Fourier transform on $\langle D(\mathbf{r}_m) \rangle$ can now be calculated using $\langle D(\mathbf{r}_m) \rangle = \mathcal{D}(\mathbf{r}_m)$ for $g(\mathbf{r}_m)$ in Eq. (21), giving

$$\begin{aligned} \langle \hat{D}(\mathbf{f}) \rangle &= |A| \sum_m e^{-2\pi i \mathbf{r}_m \cdot \mathbf{f}} \mathcal{D}(\mathbf{r}_m) \\ &= |A| \sum_m \int \int_K d^2 \mathbf{f}' \hat{\mathcal{D}}(\mathbf{f}') e^{2\pi i \mathbf{r}_m \cdot (\mathbf{f}' - \mathbf{f})} \\ &= \sum_{\mathbf{f}_k} \hat{\mathcal{D}}(\mathbf{f} + \mathbf{f}_k) = \Gamma \sum_{\mathbf{f}_k} T(\mathbf{f} + \mathbf{f}_k) \langle \hat{I}(\mathbf{f} + \mathbf{f}_k) \rangle, \end{aligned} \quad (38)$$

which follows from expressing \mathcal{D} in terms of its Fourier transform and using the completeness relationship expressed in Eq. (25).

Comparison of Eq. (38) with its screen-film analog, Eq. (2), helps to clarify the interpretation of the OTF, $T(\mathbf{f})$. The spacings in the discrete lattice introduce new length scales which occur explicitly in the summation over aliases. In the limit of a very finely grained lattice, so that $|A| \rightarrow 0$, the spacing of the reciprocal lattice points gets larger, until only the one unaliased term contributes significantly to Eq. (38), and the screen-film case is recovered.

When frequencies higher than those supported by the lattice are present in the signal, the summation in Eq. (38) introduces "aliasing," that is, there exist multiple spatial input frequencies whose output is at the same frequency and are thus not distinguishable. For example, considering a one-dimensional lattice with pixel-pitch of 1 cm, oscillations at a rate of 0.5 cycles per cm can not be distinguished from oscillations at a rate of 1.5 cycles per cm. From Eq. (38), two components of the input signal generate the same component of the output signal if and only if their spatial frequencies differ by an element \mathbf{f}_{k_1, k_2} of the reciprocal lattice.

More generally for any lattice there are frequencies \mathbf{f}_0 such that $-\mathbf{f}_0$ is an alias of \mathbf{f}_0 (for example, if \mathbf{f} and $-\mathbf{f}$ are on opposite boundaries of the first unit cell in the reciprocal

lattice). For such a frequency \mathbf{f}_0 [noting that $T(\mathbf{f}) = T^*(-\mathbf{f})$ and $\langle \hat{I}(\mathbf{f}) \rangle = \langle \hat{I}^*(-\mathbf{f}) \rangle$ for real-valued $P(\mathbf{r})$ and $\langle I(\mathbf{r}) \rangle$] it is possible to choose the phase of $\langle \hat{I}(\mathbf{f}_0) \rangle$ so that

$$T(\mathbf{f}_0) \langle \hat{I}(\mathbf{f}_0) \rangle + T(-\mathbf{f}_0) \langle \hat{I}(-\mathbf{f}_0) \rangle = 0, \quad (39)$$

showing by Eq. (38) that a sinusoidal signal concentrated at frequency \mathbf{f}_0 and displaced by an appropriate offset relative to the lattice (as determined by the phase of $\langle \hat{I}(\mathbf{f}_0) \rangle$) would be indistinguishable from the flat-field signal. Returning to the simple one-dimensional model of pixels spaced at 1 cm, this result means that for some displacement relative to the lattice the input of a sinusoidal wave of frequency 1 cycle/cm would give vanishing output. If the detector elements were assumed to integrate over 1 cm intervals, then the output vanishes for all relative phases of the sinusoidal input wave and the lattice. If, alternatively, the detectors integrated over only 0.5 cm regions but still were spaced at 1.0 cm intervals, then the sinusoidal wave would have vanishing output only when the nodes of the sinusoid fell upon the centers of the 0.5 cm sensitive regions of the detectors and would otherwise change each digital value by a phase-dependent offset from the flat-field value.

The optical transfer function has been written in terms of a Fourier transform using complex exponentials. Since complex-valued exponential inputs are not readily available, it is necessary to ask how T can be experimentally measured. In principle, phantoms machined to produce sinusoidal patterns of x-ray intensity could be used, and by repeated measurements with different offsets one could separate the positive and negative frequency components. A more practical method is the well-known slanted edge technique,^{20,21} in which images are acquired under flat-field conditions except that one half-plane of the detector is shielded so as not to receive any input signal. The detector response D as a function of distance from the edge is referred to as the edge-spread function ESF, which can be differentiated²² to give the line spread function, LSF. Alternatively, by providing an appropriate input the LSF can be acquired directly.²³ The LSF represents integrals through the PSF along lines parallel to the edge, so that by acquiring data with the edge at multiple angles one obtains the radon transform of the PSF. One can reconstruct the PSF, but it is more common to stop after computing the Fourier transform of the ESF, which gives values of the OTF for spatial frequencies \mathbf{f} which are normal to the edge. For discrete-array detectors it is desirable that the slope of the edge is not commensurate with the lattice spacing (for example, on a square grid, if the edge is not parallel to one of the axes and does not have a slope which is a ratio of small whole numbers like 1/2 or 2/3). When this condition is satisfied, for a given region of interest the distances z of the lattice points \mathbf{r}_m from the edge will be distributed sufficiently densely and evenly so that the ESF is said to be "super-sampled," i.e., sampled at a rate significantly higher than the reciprocal of the lattice spacing, so that it is possible to measure values of the OTF for input frequencies beyond those supported by the lattice.

For discrete-array detectors, rotational symmetry will generally be only approximately valid at low spatial frequen-

cies, so it is desirable to make measurements at multiple angles relative to the lattice. When the ESF_θ at a given angle θ is acquired, it is often the case that the precise position of the edge relative to the lattice is not known, so that one actually acquires data for $\text{ESF}_\theta(z+z_\theta)$, where z_θ represents the lack of knowledge of the exact position of the edge. Upon taking the Fourier transform of the ESF, this introduces a phase uncertainty of the form $e^{2\pi i f z_\theta}$ into the value of $T(\mathbf{f})$. While this phase uncertainty also occurs in measurements of screen-film systems, for discrete-array systems summations over aliased frequencies generally are not possible given uncertainties in the relative phases of values of T at different spatial frequencies. In general one can remove this phase uncertainty by redefining the lattice positions to correspond to the "centers-of-mass" of the response functions of the sensitive elements. More specifically, if

$$\iint d^2\mathbf{r} P(\mathbf{r}) = \int dz \text{ESF}_\theta(z+z_\theta) > 0, \quad (40)$$

then it is possible to redefine the lattice (by a shift) so that each lattice point sits at the center of mass of the response function of the associated detector element, giving

$$\iint dx dy x P(\mathbf{r}) = \iint dx dy y P(\mathbf{r}) = 0. \quad (41)$$

With this redefinition of the lattice position, each LSF acquired corresponds to a radon projection of the PSF (onto a line perpendicular to the edge) and thus the center of mass of each LSF should be at the origin. This corresponds to shifting the acquired LSF (adjusting z_θ) so that

$$\int dz \text{ESF}_\theta(z) z = 0 \quad (42)$$

for each angle.

As a practical matter this results in an increase in the amount of data it is desirable to report for a given detector. If one can assume an inversion symmetry, i.e., $P(\mathbf{r}) = P(-\mathbf{r})$, then the imaginary part of the transfer function will vanish identically, so that only the real part need be reported. The absolute value of the OTF, traditionally called the modulation transfer function (MTF), gives enough information to calculate quantities such as the spatial average of SNR^2 (Sec. VI), but does not give enough information to explore other aspects of the detector, such as the spatial variation of SNR^2 as the test object is moved relative to the lattice. Researchers should also note that with the slanted edge technique, when combining raster lines to plot the edge spread function, the independent variable of interest is the distance from the edge, which for square lattices differs from the distance along a raster line by a factor of the cosine of the angle between the raster line and the normal to the edge. This factor becomes significant when trying to measure the transfer function at angles away from the detector axes. Based upon the experience of the authors, one can generally measure values of the OTF at frequencies several times the highest frequency supported by the lattice. One is, of course, measuring the response of the detector at low frequency aliases to higher frequency input signals. Whether the pres-

ence of these aliased signals in the output is desirable will depend upon the task at hand. For example, it might be desirable to detect a high-frequency signal even if one can't distinguish it from a low-frequency signal, or the resulting ambiguity might be unacceptable.

The question of what, if anything, should be identified as either the OTF or MTF for digital systems has been addressed in several ways in the literature. For example, Dobbins²⁴ discusses the "pre-sampled OTF" (OTF_{pre} , our T) as measured via the LSF,²³ but then emphasizes the fact that the response to an input signal with either sinusoidal or delta-function spatial variation will change if the input signal is shifted by a fraction of the lattice spacing. This dependence, which follows from Eq. (38) when the input is expanded into Fourier components, confounds attempts to define the MTF either in terms of the frequency response to a single delta function or as the ratio of output-to-input amplitude for a sinusoid. Dobbins addresses this issue by defining

$$\text{OTF}_d(\mathbf{f}) = \sum_{\mathbf{f}_i} \text{OTF}_{\text{pre}}(\mathbf{f} + \mathbf{f}_i), \quad (43)$$

and defining $\text{EMTF}(\mathbf{f})$ as the amplitude of the detector response at frequency \mathbf{f} to a delta-function input averaged over all positions of the delta function. Giger and Doi²⁵ included such a summation of OTF over aliased frequencies in their study of data acquisition and display for digital systems. Both OTF_d and EMTF can be computed in terms of the OTF, but it can be seen that neither is sufficient for calculating SNR. Metz²⁶ approaches the problem in essentially the same manner as discussed in this paper, and indeed Eqs. (19) and (30) of that paper essentially give our Eq. (38), but for a slightly more specialized case. Metz then brings up the point that a shift by a fraction of the lattice spacing in the input signal does not result in a simple shift in the output data, and concludes that "the effect is accounted for mathematically, but it prevents us from defining a unique 'transfer function' of the sampling process."

Experimentally, Sones and Barnes²⁷ recognized the desirability of measuring the transfer function above the maximum frequency supported by the sampling lattice in their work with a digital radiography unit. This measurement was performed using a novel technique based upon a phantom consisting of periodically arranged wires, the distance between the wires chosen to be incommensurate with the distance between samples acquired by the detector. Fujita, Doi, and Giger²⁸ measured the "pre-sampling analog MTF" above the maximum frequency supported by their sampling lattice via a slanted slit technique and recognized that "knowledge of the pre-sampling analog MTF ... will be useful in the determination of signal-to-noise ratio (SNR) [and] the evaluation of digital systems," a statement with which we heartily agree.

Working from a complementary theoretical perspective, Barrett *et al.*¹ uses the "cross-talk" matrix to address the more general case of any detector whose response is linear, then proceeds to more specialized cases. In Barrett *et al.*, the input to the system is defined as the object being imaged

parameterized in terms of the coefficients of its three-dimensional Fourier series, while for our purposes the input is the x-ray fluence incident on the detector. For projection radiography, which is our primary interest, the incident x-ray fluence is directly related to the integrated attenuation coefficient of the object along rays diverging from the x-ray focus, at least to a first approximation. As our goal is to attempt to quantify the detector response independently of other technical factors, this approximation is adequate. Barrett *et al.* is concerned with detectors which may have relatively few sensitive elements, so the application of Fourier techniques to the acquired data is not considered. Barrett *et al.* applies the cross-talk matrix to the case of a one-dimensional array of detector elements with aperture size equal to the element spacing, and finds that the cross-talk between components of the input at separate frequencies decreases as the length of the array is increased, so long as the frequencies are not aliases of each other. Thus in the limit of a homogeneous detector of infinite extent one recovers the fact that the transfer function behaves as a sparse matrix, in which all terms vanish except those on the diagonal or relating aliased frequencies.

In order to use Eq. (38) to calculate the response of the detector to a given input, it would be necessary to know the position of the object being imaged with a precision finer than the lattice spacing. Strictly speaking, to calculate the response in either the discrete or continuous case requires that the input be "perfectly known." However, in the case of a continuous detector, a shift in position of the input will result in a corresponding shift in position of the output, while for a discrete detector the "shape" of the output would change. In many cases, such as predicting the detectability of randomly placed objects, one would need to calculate for an ensemble of objects displaced with random phases relative to the lattice, as will be illustrated below in calculating the SNR of small objects.

V. NOISE

Individual realizations of an imaging process have an irreducible variability which sets a fundamental limit on how effectively the detector can distinguish between various inputs. For discrete-array systems, as for screen-film systems, the noise can be quantified in terms of the autocovariance function. If the noise is additive and Gaussian, then the autocovariance matrix completely summarizes the stochastic process which generates the noise. If the system is also stationary, then Fourier techniques can be used to define the Wiener spectrum.

The discrete autocovariance function is given by^{13,15}

$$C(\mathbf{r}_m, \mathbf{r}_n) = \langle D(\mathbf{r}_m) D(\mathbf{r}_n) \rangle, \quad (44)$$

where \mathbf{r}_m and \mathbf{r}_n are points in the lattice of detectors, the angled brackets represent averaging over an ensemble of flat-field images, and as discussed above $\langle D(\mathbf{r}_m) \rangle = 0$ in the absence of a signal. Symmetry under interchange of positions

$$C(\mathbf{r}_m, \mathbf{r}_n) = C(\mathbf{r}_n, \mathbf{r}_m) \quad (45)$$

is an immediate result. With the assumption of stationarity, the autocovariance depends only upon the displacement $\mathbf{r}_m - \mathbf{r}_n$, so we can write

$$C(\mathbf{r}_m, \mathbf{r}_n) = C(\mathbf{r}_m - \mathbf{r}_n) \quad (46)$$

without ambiguity. Note that the difference between two vectors corresponding to lattice points is again a vector corresponding to a lattice point, so C on the right-hand side of Eq. (46) is defined at precisely the lattice points.

The Wiener spectrum $W(\mathbf{f})$ is defined as the discrete space Fourier transform [Eq. (21)] of the autocovariance function $C(\mathbf{r}_m)$. As with any discrete space Fourier transform, the Wiener spectrum is periodic in frequency space [Eq. (22)] so that one need only consider the values of $W(\mathbf{f})$ on a single unit cell of the reciprocal lattice. It is noteworthy that both the autocovariance C and the Wiener spectrum W are real-valued and even. As with screen-film systems, one considers statistics which are linear functions of the data, so if $g(m_1, m_2)$ is a set of real (or complex) numbers defined on the lattice points, one defines

$$\theta_g = |A| \sum_{\mathbf{r}_m} g(\mathbf{m}) D(\mathbf{r}_m). \quad (47)$$

The variance of θ_g (for g complex valued, the sum of the variances of the real and complex parts) is given by

$$\text{Var}(\theta_g) = \langle \theta_g \theta_g^* \rangle \quad (48)$$

$$= |A|^2 \left\langle \left(\sum_{\mathbf{m}} g(\mathbf{m}) D(\mathbf{r}_m) \right) \left(\sum_{\mathbf{n}} g^*(\mathbf{n}) D(\mathbf{r}_n) \right) \right\rangle \quad (49)$$

$$= |A|^2 \sum_{\mathbf{m}} \sum_{\mathbf{n}} g(\mathbf{m}) C(\mathbf{r}_n - \mathbf{r}_m) g^*(\mathbf{n}) \quad (50)$$

in terms of real space. Expressing the autocovariance matrix as the inverse discrete space Fourier transform [Eq. (24)] of the Wiener spectrum one obtains

$$\begin{aligned} \text{Var}(\theta_g) &= |A|^2 \sum_{\mathbf{m}} \sum_{\mathbf{n}} g(\mathbf{m}) \int \int_K d^2 \mathbf{f} \\ &\quad \times W(\mathbf{f}) e^{2\pi i \mathbf{f} \cdot (\mathbf{r}_n - \mathbf{r}_m)} g^*(\mathbf{n}) \end{aligned} \quad (51)$$

$$= \int \int_K d^2 \mathbf{f} \hat{g}(\mathbf{f}) \hat{g}^*(\mathbf{f}) W(\mathbf{f}), \quad (52)$$

where the second step follows from the definition of the discrete space Fourier transform [Eq. (21)].

Thus one can calculate the variance of a statistic θ_g , which depends in a linear manner upon the data, using either the autocovariance function or the Wiener spectrum. Statistics of this form, for $g(\mathbf{m})$ real-valued, will be seen to correspond to decision variables of ideal observers in Sec. VI. As in the screen-film case, it is useful to consider functions $g(\mathbf{m})$ corresponding to the product of a plane wave and a windowing function, which can be written as

$$g_{\mathbf{f}_0}(\mathbf{m}) = G(\mathbf{m}) e^{2\pi i \mathbf{f}_0 \cdot \mathbf{r}_m}, \quad (53)$$

where $G(\mathbf{m})$ is a real-valued window function with normalization

$$|A| \sum_{\mathbf{m}} G(\mathbf{m}) G^*(\mathbf{m}) = 1, \quad \int \int_K d^2 \mathbf{f} \hat{G}(\mathbf{f}) \hat{G}^*(\mathbf{f}) = 1, \quad (54)$$

where the two normalizations are equivalent by Parseval's theorem. Applying Eq. (49) and Eq. (52),

$$\text{Var}(\theta_g) = |A|^2 \left\langle \left| \sum_{\mathbf{m}} G(\mathbf{m}) e^{-2\pi i \mathbf{f}_o \cdot \mathbf{r}_m} D(\mathbf{r}_m) \right|^2 \right\rangle \quad (55)$$

$$= \int \int_K d^2 \mathbf{f} |\hat{G}(\mathbf{f} - \mathbf{f}_o)|^2 W(\mathbf{f}). \quad (56)$$

For suitable windowing functions G , $|\hat{G}(\mathbf{f} - \mathbf{f}_o)|^2$ will be strongly peaked near \mathbf{f}_o so that one obtains an estimate of the Wiener spectrum at the specified frequency, $W(\mathbf{f}_o)$. In particular, if $G_{\text{rect}}(\mathbf{m})$ is chosen as $1/(M_1 M_2 |A|)^{1/2}$ at the lattice points $\mathbf{m} \in [0, \dots, M_1 - 1] \times [0, \dots, M_2 - 1]$, then

$$\theta_{\text{rect}} = \sum_{m_1=0}^{M_1-1} \sum_{m_2=0}^{M_2-1} \frac{1}{\sqrt{M_1 M_2 |A|}} D(\mathbf{r}_m) e^{2\pi i \mathbf{f}_o \cdot \mathbf{r}_m}, \quad (57)$$

$$|\hat{G}(\mathbf{f} - \mathbf{f}_o)|^2 = \frac{|A|}{M_1 M_2} \frac{\sin^2(M_1 \pi (\mathbf{f} - \mathbf{f}_o) \cdot \mathbf{v}_1)}{\sin^2(\pi (\mathbf{f} - \mathbf{f}_o) \cdot \mathbf{v}_1)} \times \frac{\sin^2(M_2 \pi (\mathbf{f} - \mathbf{f}_o) \cdot \mathbf{v}_2)}{\sin^2(\pi (\mathbf{f} - \mathbf{f}_o) \cdot \mathbf{v}_2)}, \quad (58)$$

which explicitly shows that for this choice of G , $|\hat{G}(\mathbf{f} - \mathbf{f}_o)|^2$ is strongly peaked near \mathbf{f}_o . For a square lattice with conventional choice of basis vectors, $(\mathbf{f} - \mathbf{f}_o) \cdot \mathbf{v}_1 = (f_x - (f_o)_x) \Delta x$, where $f_x - (f_o)_x$ is the difference in the x components of the frequencies and Δx is the lattice spacing in the x direction, and similarly for the y axis. In general, if a separable window is chosen, so that $G(\mathbf{m}) = G_1(m_1) G_2(m_2)$, then $\hat{G}(\mathbf{f}) = \hat{G}_1(\mathbf{f} \cdot \mathbf{v}_1) \hat{G}_2(\mathbf{f} \cdot \mathbf{v}_2)$, so that one can make use of the variety of one-dimensional windows which have been studied.²⁹

Returning to the case of a general lattice, Eq. (58) shows that for this particular choice of window, as is typical, the estimate of $W(\mathbf{f})$ becomes sharper as the spatial width of the window increases, so that

$$W(\mathbf{f}) = \hat{C}(\mathbf{f}) = \lim_{M_1, M_2 \rightarrow \infty} \langle W_{M_1 M_2}(\mathbf{f}) \rangle, \quad (59)$$

$$W_{M_1 M_2}(\mathbf{f}) = \frac{|A|}{M_1 M_2} \left| \sum_{m_1=0}^{M_1-1} \sum_{m_2=0}^{M_2-1} D(\mathbf{r}_m) e^{-2\pi i \mathbf{f} \cdot \mathbf{r}_m} \right|^2, \quad (60)$$

where, by stationarity, any $M_1 \times M_2$ region of the detector lattice will serve. Specializing to the zero frequency case, $\mathbf{f} = \mathbf{0}$, one gets

$$W(\mathbf{0}) = \lim_{M_1, M_2 \rightarrow \infty} (M_1 M_2 |A|) \times \left\langle \left(\frac{1}{M_1 M_2} \sum_{m_1=0}^{M_1-1} \sum_{m_2=0}^{M_2-1} D(\mathbf{r}_m) \right)^2 \right\rangle, \quad (61)$$

which is the discrete-array version of Selwyn granularity⁵ (the variance in the average digital value corresponds to the

variance in the spatially averaged optical density of film). Comparing Eq. (61) to Eq. (52), one can interpret Eq. (61) as the statement that the integrated response over large regions of the detector depends only upon the low-frequency components of the Wiener spectrum. Viewed spatially, this result means that the digital values averaged over sufficiently large disjoint regions are approximately independent, so that the variance of the average over N large subregions scales with $1/N \propto 1/M_1 M_2$.

As with the OTF, the results of the screen-film theory appear as a limiting case for sufficiently fine lattices. Writing Eqs. (59) and (60) as

$$W(\mathbf{f}) = \lim_{M_1 \rightarrow \infty} \frac{1}{M_1 M_2 |A|} \times \left\langle \left| \sum_{m_1=0}^{M_1-1} \sum_{m_2=0}^{M_2-1} |A| D(\mathbf{r}_m) e^{-2\pi i \mathbf{f} \cdot \mathbf{r}_m} \right|^2 \right\rangle, \quad (62)$$

the summations become approximations of the integrals in Eq. (6).

The discrete autocovariance [Eq. (44)], the definition of the Wiener spectrum as the discrete space Fourier transform of the autocovariance, and the use of Fourier components of flat-field images to estimate the NPS [Eq. (59)] have occurred in several places in the medical physics literature,^{13,30} but historically these results seem to have been considered less than satisfactory from a theoretical point of view. For example, Cunningham³¹ stated that while "[i]t is tempting to write out the NPS of [the sampled digital signal], but strictly speaking this violates the shift-invariance assumption since [the data] is sampled and is therefore not shift invariant." More recently, Cunningham,³² in analyzing the concept of NPS in terms of cyclostationary^{15,33} random processes, defines $W_{M_1 M_2}$ [Eq. (60)] as "a working definition of the digital NPS." As detailed in Sec. VI, the NPS, as defined here, is precisely the noise which sets the detection-theoretic limits on the use of the detector. In the detection-theoretic approach of Barrett *et al.*,¹ the Fisher information matrix relates the detector noise back into uncertainties in the estimates of the Fourier coefficients of the object being imaged. This has the advantage that it removes the fundamentally arbitrary choice of scale in using digital values, but if aliased frequencies become important the Fisher information matrix becomes singular so that the inversion of this matrix is problematic.

The definition of NPS given here is intended to be operational in the sense that it is defined in a manner which can be implemented using the experimentally available digital values. For the purposes of understanding the sources of noise in detectors, it may be useful to consider the noise in the "presampled" signal, and for some detectors this presampled signal might be experimentally accessible. For example, in a detector based on a phosphor screen coupled with a lens to a charge-coupled device (CCD) camera, one could do experiments in which the camera is replaced by a photographic film. For some devices, such as TFT arrays using direct conversion mechanisms, the meaning of the pres-

mpled signal is less clear as removal or refinement of the sampling array is likely to change the electric fields responsible for charge collection.

As reviewed by Wagner and Sandrik,³⁰ the calculation of the NPS can be implemented in several ways. One method is to estimate the autocovariance function [Eqs. (44) and (46)] using pairs of points in one or (preferably) more images, and then performing the Fourier transform to give the NPS. Alternatively, the variance in the Fourier components is used, as in Eq. (55). If G is chosen as a rectangular window, then Eq. (55) reduces to Eq. (59), so that $\langle W_{M_1 M_2}(\mathbf{f}) \rangle$ [Eq. (60)] is used as an estimate of $W(\mathbf{f})$. In principle the frequency \mathbf{f} is a continuous variable, but the spread of $|\hat{G}(\mathbf{f})|^2$ limits the resolution in frequency space [by Eq. (56)] and this spread is inversely proportional to the size of the spatial region and on the order of $|K|/M_1 M_2$. Given this resolution, it is reasonable to calculate the NPS at $M_1 M_2$ frequencies spaced evenly in the unit cell K in frequency space. Thus, the techniques commonly in use by experimenters give precisely the quantities of interest from our current theoretical point of view, although the use of windows other than the rectangular window might be of interest to obtain better frequency resolution.

Generally, frequency resolution is not a limiting factor in estimating the Wiener spectrum, and the NPS estimated by $\langle W_{M_1 M_2}(\mathbf{f}) \rangle$ is subjected to further smoothing. From Eq. (57) it is seen that $\langle W_{M_1 M_2}(\mathbf{f}_o) \rangle$ is the variance in the random variable θ_{rect} , and as the region of interest used in the calculation is made larger, the variance in θ_{rect} tends to $W(\mathbf{f})$ which will be nonzero in general. Because the variance of θ_{rect} does not vanish, neither will the variance in $|\theta_{\text{rect}}|^2$, so the variance in $W_{M_1 M_2}(\mathbf{f})$ does not converge to zero as $M_1, M_2 \rightarrow \infty$. As the region of interest is made larger, one gains in spectral resolution but not precision, and this represents an unavoidable trade-off.^{30,34} One can only decrease the uncertainty in the estimates of the Wiener spectra by averaging estimates of $W(\mathbf{f})$ from several different regions of interest. Of course, for the purposes of analysis one could divide a large region into several smaller regions, and the averaged value of estimates of $W(\mathbf{f})$ would then have less uncertainty, but the spectral blur would be increased. Since it is often inconvenient to obtain sufficiently many flat-field images to make the standard error in the estimates of $W(\mathbf{f})$ at individual frequencies small, researchers often opt for smoothing the experimental spectrum.

VI. KNOWN SIGNAL DETECTION

Having addressed the issues of OTF and Wiener spectrum, it is now possible to use the signal-to-noise ratio (SNR) to quantify the ability of the detector to perform SKE/BKE tasks. First, however, it is useful to briefly review the meaning of the SNR in terms of an ideal^{9,35} observer working with Gaussian statistics. The ideal observer is challenged with deciding between two hypotheses based upon a given set of data. In the current context, these data consist of the digital values obtained from the detector, and for the moment we will restrict the observer to knowledge of only a finite

region of the detector, corresponding to indexes $\mathbf{m} \in \mathcal{M} = [M_1, \dots, M_1' - 1] \times [M_2, \dots, M_2' - 1]$. This observer works under the assumption that given hypothesis H_I , corresponding to an expected input signal $\langle I(\mathbf{r}) \rangle_I$ and an expected data set $\langle D(\mathbf{r}_\mathbf{m}) \rangle_I$, the probability density function describing the expected range and frequency of observed data sets is Gaussian. This Gaussian distribution in $(M_1' - M_1) \times (M_2' - M_2) = \Delta M_1 \Delta M_2$ dimensions, one dimension for each detector element available to the observer, can be written explicitly, but to make the formulas somewhat less cumbersome we use the following notation: $X_\mathbf{m} = D(\mathbf{r}_\mathbf{m})$, $\langle X_\mathbf{m} \rangle_I = \langle D(\mathbf{r}_\mathbf{m}) \rangle_I$, $\langle X_\mathbf{m} \rangle_{II} = \langle D(\mathbf{r}_\mathbf{m}) \rangle_{II}$, and $\{X_\mathbf{m}\} = \{D(\mathbf{r}_\mathbf{m}) | \mathbf{m} \in \mathcal{M}\}$ is a $\Delta M_1 \Delta M_2$ -dimensional vector in the space of all possible data values for the detector elements in region \mathcal{M} . The probability distribution which governs the frequency with which particular data sets will be obtained under hypothesis H_I is given by

$$P_I(\{X_\mathbf{m}\}) = N_o e^{-1/2 \sum_{\mathbf{m}, \mathbf{n} \in \mathcal{M}} (X_\mathbf{m} - \langle X_\mathbf{m} \rangle_I) (C^{-1})_{\mathbf{mn}} (X_\mathbf{n} - \langle X_\mathbf{n} \rangle_I)}, \quad (63)$$

where the normalization factor is given by

$$N_o = \left(\frac{1}{2\pi} \right)^{(\Delta M_1 \Delta M_2)/2} \frac{1}{\sqrt{\det C}}. \quad (64)$$

The matrix $C_{\mathbf{mn}}$ is the autocovariance function $C(\mathbf{r}_\mathbf{m}, \mathbf{r}_\mathbf{n})$ of Sec. V restricted to the range $\mathbf{m}, \mathbf{n} \in \mathcal{M}$. The fact that \mathbf{m} and \mathbf{n} are double indices, e.g., \mathbf{m} stands for m_1, m_2 , is not a problem from the theoretical point of view, and in principle for a numerical calculation one could simply choose a convenient one-to-one pairing of the double indices $m_1, m_2 \in \mathcal{M}$ with the integers $1, \dots, \Delta M_1 \Delta M_2$ so that C would be indexed in a more customary manner. Under hypothesis H_{II} , the range and frequency of observed data sets will be governed by a Gaussian probability density P_{II} , this time concentrated around $\langle X \rangle_{II}$. The restricted covariance matrix, C , occurring in both cases, will be the same under the assumption that the noise is additive.

Returning to the question of how to decide between hypothesis H_I and hypothesis H_{II} , if for a given instance of the experiment a data set $\{X_\mathbf{m}\} = \{D(\mathbf{r}_\mathbf{m}) | \mathbf{m} \in \mathcal{M}\}$ is obtained such that $P_{II}(\{X_\mathbf{m}\})$ is relatively large and $P_I(\{X_\mathbf{m}\})$ is relatively small, it would generally be reasonable to favor H_{II} . Thus the ideal observer's decision rule based on the likelihood ratio P_{II}/P_I , as discussed below, is intuitively reasonable.

The ideal observer attempts to minimize the expected cost^{9,36} given knowledge of the cost of misclassification under either hypothesis and the *a priori* probabilities associated with each hypothesis,

$$\langle \text{Cost} \rangle = P(H_I) P(\text{ChII} | I) C_{I \rightarrow II} + P(H_{II}) P(\text{ChI} | II) C_{II \rightarrow I}, \quad (65)$$

where in the first term $P(H_I)$ is the *a priori* probability of the state corresponding to hypothesis H_I being true, $P(\text{ChII} | I)$ is the probability of mistakenly choosing hypothesis H_{II} when hypothesis H_I is correct, $C_{I \rightarrow II}$ is the cost associated with this error, and similarly for the second term. Given a region R_{II}

of the $\Delta M_1 \Delta M_2$ dimensional data space and the decision rule that, if the observed data $\{D(\mathbf{r}_m) | \mathbf{m} \in \mathcal{M}\}$ are in R_{Π} then the observer rules in favor of hypothesis H_{Π} and otherwise in favor of H_I , then the probability of mistakenly favoring hypothesis H_{Π} when H_I is correct is

$$P(\text{ChI}|\text{I}) = \int \int \dots \int_{R_{\Pi}} d^{\Delta M_1 \Delta M_2} \{X_m\} P_I(\{X_m\}), \quad (66)$$

and, as under either hypothesis the total probability must be unity,

$$P(\text{ChI}|\text{I}) = 1 - \int \int \dots \int_{R_{\Pi}} d^{\Delta M_1 \Delta M_2} \{X_m\} P_{\Pi}(\{X_m\}) \quad (67)$$

gives the probability of making the error in the other direction. Combining Eqs. (65)–(67),

$$\begin{aligned} \langle \text{Cost} \rangle &= P(H_{\Pi}) C_{\Pi \rightarrow I} \\ &+ \int \int \dots \int_{R_{\Pi}} d^{\Delta M_1 \Delta M_2} \{X_m\} \text{DC}(\{X_m\}), \end{aligned} \quad (68)$$

where

$$\begin{aligned} \text{DC}(\{X_m\}) &= P(H_I) C_{I \rightarrow \Pi} P_I(\{X_m\}) \\ &- P(H_{\Pi}) C_{\Pi \rightarrow I} P_{\Pi}(\{X_m\}) \end{aligned} \quad (69)$$

is the differential cost which, if the experiment were repeated sufficiently often, would be attributed to those experiments which gave data $\{D(\mathbf{r}_m) | \mathbf{m} \in \mathcal{M}\}$. Clearly the expected cost given by Eq. (68) is minimized by choosing the region R_{Π} to be precisely the region where the differential cost DC is negative, so that the ideal observer's decision rule is to choose hypothesis H_{Π} if and only if the likelihood ratio

$$\Lambda = \frac{P_{\Pi}(\{D(\mathbf{r}_m) | \mathbf{m} \in \mathcal{M}\})}{P_I(\{D(\mathbf{r}_m) | \mathbf{m} \in \mathcal{M}\})} \quad (70)$$

exceeds the threshold value

$$\Lambda_o = \frac{P(H_I) C_{I \rightarrow \Pi}}{P(H_{\Pi}) C_{\Pi \rightarrow I}}. \quad (71)$$

By adjusting the operating point Λ_o one makes trade-offs in the rates of the two possible error types, as can be shown graphically in terms of receiver operator curves (ROC analysis).³⁷ Equivalently one can place the cutoff on $\log \Lambda$, and from Eq. (63),

$$\begin{aligned} \log \Lambda &= \sum_{\mathbf{m}, \mathbf{n} \in \mathcal{M}} (\langle D(\mathbf{r}_m) \rangle_{\Pi} - \langle D(\mathbf{r}_m) \rangle_I) (C^{-1})_{\mathbf{m}\mathbf{n}} D(\mathbf{r}_n) \\ &+ \text{const}, \end{aligned} \quad (72)$$

where the constant term does not depend upon the observed data. Thus an ideal observer, viewing a finite region \mathcal{M} of the detector array, uses a linear statistic $\theta_{\mathcal{M}}$ defined by

$$\theta_{\mathcal{M}} = \sum_{\mathbf{m} \in \mathcal{M}} g_{\mathcal{M}}(\mathbf{r}_m) D(\mathbf{r}_m), \quad (73)$$

where $g_{\mathcal{M}}$ is given implicitly by

$$\sum_{\mathbf{m} \in \mathcal{M}} g_{\mathcal{M}}(\mathbf{r}_m) C(\mathbf{r}_m, \mathbf{r}_n) = (\langle D(\mathbf{r}_n) \rangle_{\Pi} - \langle D(\mathbf{r}_n) \rangle_I), \quad (74)$$

for all $\mathbf{n} \in \mathcal{M}$. On physical grounds, the values of the mask function $g_{\mathcal{M}}(\mathbf{r}_m)$ will be significant only in the region near where $\langle I(\mathbf{r}) \rangle_{\Pi} - \langle I(\mathbf{r}) \rangle_I$ is nonzero. Further from this region, the values of $g_{\mathcal{M}}(\mathbf{r}_m)$ will tend to zero, so that for sufficiently large $\Delta M_1 \Delta M_2$ the ability of the detector to discriminate between the two hypotheses should not depend upon the exact value of $\Delta M_1 \Delta M_2$. In that limit, the efficacy of the detector for the SKE/BKE task should be set by the linear statistic θ_g for the ideal observer's mask function g_I . This mask function is defined implicitly by

$$|A| \sum_{\mathbf{m} \in \mathcal{M}} g_I(\mathbf{r}_m) C(\mathbf{r}_m, \mathbf{r}_n) = (\langle D(\mathbf{r}_n) \rangle_{\Pi} - \langle D(\mathbf{r}_n) \rangle_I), \quad (75)$$

where a factor of $|A|$ is introduced to simplify the form of the solution which in the Fourier domain is given by

$$\hat{g}_I(\mathbf{f}) = \frac{\langle \hat{D}(\mathbf{f}) \rangle_{\Pi} - \langle \hat{D}(\mathbf{f}) \rangle_I}{W(\mathbf{f})} \quad (76)$$

$$= \Gamma \frac{\sum_{\mathbf{f}_k} (\langle \hat{I}(\mathbf{f} + \mathbf{f}_k) \rangle_{\Pi} - \langle \hat{I}(\mathbf{f} + \mathbf{f}_k) \rangle_I) T(\mathbf{f} + \mathbf{f}_k)}{W(\mathbf{f})}. \quad (77)$$

The statistic θ_g is itself a Gaussian variable whose variance can be computed using Eq. (52), so that

$$\text{SNR}_I^2 = \Gamma^2 \int_{\mathcal{K}} d^2 \mathbf{f} \frac{|\sum_{\mathbf{f}_k} \langle \Delta I(\mathbf{f} + \mathbf{f}_k) \rangle T(\mathbf{f} + \mathbf{f}_k)|^2}{W(\mathbf{f})} \quad (78)$$

gives the SNR corresponding to the use of the statistic, as defined in Eq. (8). Thus the limiting case of a detector array of infinite extent is well defined, for pixels "far away" from the region of interest do not significantly contribute to the decision. Physically, it is clear that the "tails" of the PSF and autocovariance functions set the relevant scale by which distance from the edge of the array is measured, so that when the projected images of objects appear at a distance from the boundary of several times the lengths of these tails the detector can be treated as essentially infinite and Eq. (78) is valid.

It is acknowledged that there are mathematical subtleties related to a truly infinite detector which are not addressed here. For example,³⁸ the data set for such a detector would represent an infinite set of random variables, so it is not possible to write down a probability density distribution like Eq. (63) in the infinite case. The nature of the physical limit is sufficiently clear that a study of these mathematical subtleties could not change the results. In any case, the fact that the linear statistic θ_g with $g = g_I$ gives the optimal SNR of any linear statistic can be proven directly. More precisely, if $g(\mathbf{r}_m)$ is used to define a linear statistic θ_g , then letting $\Delta D = \langle D \rangle_{\Pi} - \langle D \rangle_I$,

$$\left| \int \int_K d^2 \mathbf{f} g(\mathbf{f}) \Delta \hat{D}(\mathbf{f}) \right|^2 = \left| \int \int_K d^2 \mathbf{f} (g(\mathbf{f}) \sqrt{W(\mathbf{f})}) \right. \\ \left. \times \left(\frac{\Delta \hat{D}(\mathbf{f})}{\sqrt{W(\mathbf{f})}} \right) \right|^2 \\ \leq \int \int_K d^2 \mathbf{f} |g(\mathbf{f})|^2 W(\mathbf{f}) \\ \times \int \int_K d^2 \mathbf{f} \frac{|\Delta \hat{D}(\mathbf{f})|^2}{W(\mathbf{f})}, \quad (79)$$

where the second step is an application of the Schwarz inequality. Dividing both sides of Eq. (79) by the first factor on the right, one obtains

$$\frac{\left| \int \int_K d^2 \mathbf{f} g(\mathbf{f}) \Delta \hat{D}(\mathbf{f}) \right|^2}{\int \int_K d^2 \mathbf{f} |g(\mathbf{f})|^2 W(\mathbf{f})} \leq \int \int_K d^2 \mathbf{f} \frac{|\Delta \hat{D}(\mathbf{f})|^2}{W(\mathbf{f})}, \quad (80)$$

where the quantity on the left is the SNR^2 for the statistic θ_g [Eqs. (8) and (52)] and the quantity on the right, proven to be larger, is the SNR^2 of the ideal observer as given by Eq. (78) [with Eq. (38)].

As a slightly less subtle point, the construction of the ideal observer involves dividing by $W(\mathbf{f})$, which is problematic if $W(\mathbf{f})=0$ at some frequency. For physical detectors, the Wiener spectrum never vanishes as there is always some residual noise. Even for highly idealized detectors, the Wiener spectrum must reflect the noise in the incident x-ray fluence so that it can only disappear at frequencies where the OTF vanishes, and at these frequencies the Wiener spectrum will vanish no faster than $\text{OTF}^2(\mathbf{f})$ (discussed in more detail in the next section), so that even in this case the SNR as given by Eq. (78) is a well-defined limit.

The SNR given by Eq. (78) corresponds to the SKE/BKE decision task using a discrete-array detector, as Eq. (13) gives the SNR for the SKE/BKE decision task for screen-film. Strictly, these formulas do not apply to the task of detection when the observer does not know the position of the object being imaged. For detecting a signal of unknown location, one can calculate the ideal observer's SKE/BKE $\theta_{\mathbf{f}}(\mathbf{r})$ for each possible position \mathbf{r} of the object. A common strategy is then to apply a threshold to $\theta_{\mathbf{f}}(\mathbf{r})$. Under the assumption of Gaussian statistics with complete knowledge except for position, the likelihood ratio computed by the ideal observer uses $\theta_{\mathbf{f}}(\mathbf{r})$ in a nonlinear manner^{35,39,40} that is sensitive to peaks in $\theta_{\mathbf{f}}(\mathbf{r})$. In either case, the values of SNR given by Eqs. (13) and (78) are indicative of the efficacy of the ideal observer in the more general case of the position being unknown.

For the discrete-array detector, however, the value of the SNR for the SKE/BKE case will depend upon exactly where the object is relative to the lattice. While this variation can be significant (for example detectors could have interstitial spaces where objects completely disappear), the magnitude of the effect decreases for objects large relative to the lattice spacing. Examples of this for several simple model detectors will be given in the next section. If the variation in SNR^2

with position is not too great, then the spatially averaged value of SNR^2 will be of use.¹ This spatial average can be computed exactly by noting that if an object is shifted by a displacement \mathbf{r} , the Fourier transform is multiplied by $e^{2\pi i \mathbf{f} \cdot \mathbf{r}}$ so that in Eq. (78) the sum over elements of the reciprocal lattice becomes

$$\left| \sum_{\mathbf{f}_k} \langle \Delta \hat{I}(\mathbf{f} + \mathbf{f}_k) \rangle T(\mathbf{f} + \mathbf{f}_k) e^{2\pi i \mathbf{f}_k \cdot \mathbf{r}} \right|^2, \quad (81)$$

where a common factor independent of \mathbf{k} ($|e^{2\pi i \mathbf{f} \cdot \mathbf{r}}|=1$) has been removed. In averaging over positions \mathbf{r} in Eq. (78), the denominator of the integrand does not depend upon \mathbf{r} , and the numerator is the square of the magnitude of a Fourier series in \mathbf{r} , so that in integrating over \mathbf{r} to obtain the average over all displacements one can apply Parseval's theorem to obtain

$$\langle \text{SNR}^2 \rangle = \Gamma^2 \int \int_K d^2 \mathbf{f} \frac{\sum_{\mathbf{f}_k} |\Delta \hat{I}(\mathbf{f} + \mathbf{f}_k)|^2 |T(\mathbf{f} + \mathbf{f}_k)|^2}{W(\mathbf{f})} \\ = \Gamma^2 \int \int_K d^2 \mathbf{f} \left(\frac{|T(\mathbf{f})|^2}{W(\mathbf{f})} \right) |\Delta \hat{I}(\mathbf{f})|^2, \quad (82)$$

where the second step follows from noting that the sum of the integrals over each unit cell is equivalent to the integral over the entire plane.

As for the OTF and NPS, the film-screen result, Eq. (13), can be recovered from the discrete-array result [Eq. (78)] by going to the limit of a sufficiently fine lattice, in which case the distance to the first aliased frequency is so large that only the unaliased term contributes to Eq. (78). Similarly, for a sufficiently fine lattice all objects are large relative to the lattice spacing, so that SNR^2 does not vary appreciably as the object is moved relative to the lattice spacing. These facts prompt the identification¹ of

$$\text{GNEQ}(\mathbf{f}) = \Gamma^2 |T(\mathbf{f})|^2 \Phi^2 / W(\mathbf{f}), \quad (83)$$

as a generalization of the concept of noise equivalent quantum flux (NEQ), where Φ is the incident x-ray flux, and

$$\text{GDQE}(\mathbf{f}) = \Gamma^2 |T(\mathbf{f})|^2 \Phi / W(\mathbf{f}), \quad (84)$$

as a generalized detective quantum efficiency (DQE). These results parallel the screen-film theory, except that factors of fluence appear in the numerator as the response of digital detectors is linear with fluence [Eq. (33)] while film density is linear with respect to the log of fluence [Eq. (1)]. While Eq. (82) is exact in the context of the assumptions we have made about the detector, SNR^2 enters nonlinearly into other quantities such as the various probabilities of misclassification for a given operating point (sometimes called the false positive fraction and the false negative fraction in ROC methodology). However, when the variation in SNR^2 is not too large, perhaps as measured by the rms (root-mean-square) variation in SNR^2 , then the GNEQ and spatially averaged SNR^2 can be considered a useful summary of the efficacy of the detector.

In this paper we have applied the concept of an ideal observer directly to the digital data. The results obtained are

implicit in the work of Giger *et al.*,^{13,25,41,42} but Giger *et al.* concentrates on issues of display and models of human visual response to the displayed data. As these tasks are decoupled from image acquisition for digital systems, it is worth considering figures of merit for the data acquisition system independent of the display, as done here. The results of this section also follow as limiting cases of the work of Barrett *et al.*¹ Of particular note, Sec. V A¹ discusses a simple binning detector and obtains

$$\text{SNR}^2 = \sum_{m=1}^M \frac{\Delta \bar{g}_m^2}{\sigma_m^2}, \quad (85)$$

where σ_m is the uncorrelated noise in the m th detector and $\Delta \bar{g}_m$ is the expected change in the data value at the m th detector which would be caused by the signal. This particular result can be obtained directly from first principles based on counting statistics in each detector element. In the stationary case, $\sigma_m = \sigma$ is a constant, so in Eq. (78) $W(\mathbf{f}) = \sigma^2 |A|$ and the numerator [using Eq. (38) and Parseval's identity] becomes $|A| \sum_{\mathbf{m}} |\Delta \langle D(\mathbf{r}_m) \rangle|^2$, again recovering the result [Eq. (85)] based on counting statistics for uncorrelated noise. It is worth noting that if one does not include the aliased terms in the numerator of Eq. (78) (perhaps on the grounds that aliased signals are not useful), the value of SNR^2 will be underestimated. The aliased response is part of the physical response of the detector, and in this case the aliased terms will add coherently in such a manner as to bring the calculated value of the SNR^2 up to the value in Eq. (85) obtained from counting statistics.

VII. MODEL DETECTORS

To give a feel for the implications of the above theory, the capabilities of detectors with reasonably realistic parameters will now be investigated. The modeling is somewhat simplistic, but sufficient to demonstrate several interesting properties, such as the dependence of SNR on the position of the object being imaged, and certain trade-offs inherent in such detectors, particularly those trade-offs related to the possible suppression of input spatial frequencies above the frequencies supported by the lattice. The incident x-ray fluence Φ has a white Wiener spectrum, $W_i(\mathbf{f}) = \Phi$. Among other simplifications, which will be discussed in more detail at the end of the section, we assume 100% of the x-rays interact. Each x-ray undergoes a stochastic amplification, characterized by an average of m secondary quanta per x-ray with $\sigma_m = \sqrt{m}$ for a Poisson process, and the secondary quanta undergo a stochastic scattering process, with a spread function P_s and transfer function T_s , before being "binned" by the detector elements. The result is an average of $m\Phi$ secondary quanta per unit area on the detector with a pre-sampled Wiener spectrum given by⁴³

$$W_s(\mathbf{f}) = [m^2 W_i(\mathbf{f}) + \Phi \sigma_m^2 - m\Phi] |T_s(\mathbf{f})|^2 + m\Phi. \quad (86)$$

For a square lattice with spacing L , binning can be considered as a deterministic convolution with rect functions representing the detector regions, so that with

$$T_b(\mathbf{f}) = \left(\frac{\sin(\pi L f_x)}{\pi L f_x} \right) \left(\frac{\sin(\pi L f_y)}{\pi L f_y} \right), \quad (87)$$

the digital noise power spectrum can be written

$$W(\mathbf{f}) = \frac{1}{m^2} \sum_{\mathbf{f}_k} |A|^2 \Phi (m^2 |T_s(\mathbf{f} + \mathbf{f}_k)|^2 + m) |T_b(\mathbf{f} + \mathbf{f}_k)|^2 + W_E, \quad (88)$$

where the factor of $1/m^2$ is introduced so that digital values will correspond to x-ray count and W_E is the electronic noise. With the present conventions the gray-scale characteristic is set to $\Gamma = |A|$. A simplification can be achieved⁴⁴ using

$$\sum_{n=-\infty}^{\infty} \left(\frac{\sin(\pi(x+n))}{\pi(x+n)} \right)^2 = 1 \quad (89)$$

for any x , which can be proven by applying Parseval's theorem to the Fourier series for $e^{2\pi ixy}$ for $y \in [-0.5, 0.5]$. The experimentally observable transfer function (as obtained, for example, by the slanted-edge technique, cf. Sec. IV) contains the effects of stochastic scatter and binning, thus $T(\mathbf{f}) = T_s(\mathbf{f}) T_b(\mathbf{f})$, so that

$$W(\mathbf{f})/|A| = \Phi |A| \sum_{\mathbf{f}_k} |T(\mathbf{f} + \mathbf{f}_k)|^2 + \frac{\Phi |A|}{m} + W_E/|A| \quad (90)$$

is the Wiener spectrum of the model detector, with the average number of x-rays per pixel being $\Phi |A|$. The summation over aliases in Eq. (88) is often referred to as "noise aliasing." The division into aliased and unaliased components is useful for modeling a variety of detectors, but it should be noted that this division is generally not directly experimentally accessible, at least not without modifying the detectors, and that in principle there could be devices which are stationary, and therefore have Wiener spectra, but for which the division of the NPS into aliased and unaliased components is not useful.

It is useful to choose values of the parameters in the model which are representative of detectors of current clinical interest, as this can help in the understanding of the physics which determines the performance of these devices, but detailed modeling for quantitative comparison to actual devices is beyond the scope of this article. We assume a square lattice with spacing of $L = 0.143$ mm, operation at an exposure corresponding to $|A|\Phi = 1400$ x-rays per pixel, and an amplification factor of $m = 1000$. For the stochastic transfer function T_s we consider three possibilities: a "blur-free" detector for which $T_s(\mathbf{f}) = 1$, typical of photoconductive arrays,⁴⁵ and two "alias-free" detectors whose stochastic transfer functions are of the form $T_s(\mathbf{f}) = e^{-\lambda|\mathbf{f}|}$ with $\lambda = 0.463$ and $\lambda = 0.34$ mm, which approximates the transfer function for evaporated CsI.⁴⁶⁻⁴⁸ Typically electronic noise $\sqrt{(W_E)/|A|}$ is on the order of 3–5 x-rays, so values of 0, 4², and 8² cover the range of values for $\Phi |A|/m + W_E/|A|$.

The transfer functions for these models are shown in Fig. 2. As the pixels are symmetric with respect to inversion through their centers [i.e., for the PSF, $P(\mathbf{r}) = P(-\mathbf{r})$], and

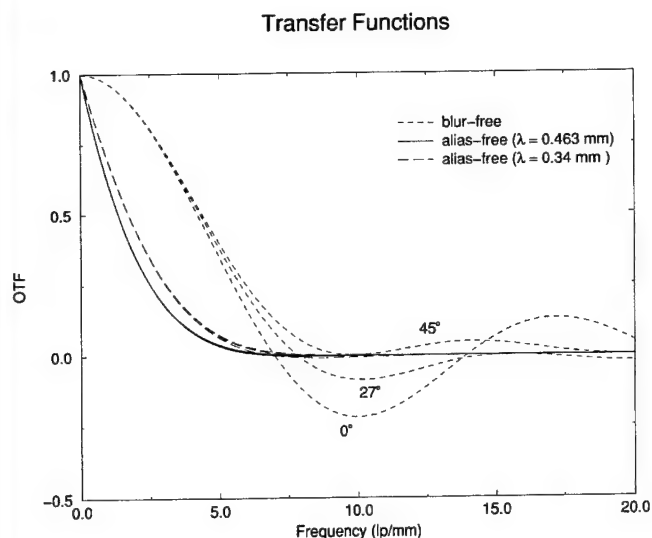


FIG. 2. The optical transfer functions of three model detectors. The "blur-free" detector bins the secondary quanta without smoothing, while for the "alias-free" detectors the distribution of secondary quanta is smoothed by an exponential MTF ($e^{-\lambda|f|}$) before binning. Data are shown as a function of the magnitude of the spatial frequency for several angles.

$P(\mathbf{r})$ is a real number], the imaginary part of the transfer function is identically zero, so only the real part need be graphed. The OTF is, of course, a function of two variables, f_x and f_y . To show this, we plot the OTF as a function of the magnitude of the frequency vector for three angles relative to an axis of the detector. For the blur-free detector, the transfer function is simply the product of the sincs in the two directions induced by the binning operation. The OTF of the blur-free detector is nonzero well beyond the highest frequency supported by the lattice. Any component of an input signal at these higher frequencies will contribute to a lower frequency alias in the output, as per Eq. (38), and while it is not obvious from the point of view of frequency space the sum over aliases in Eq. (38) will be precisely equivalent to the detector

Wiener Spectra

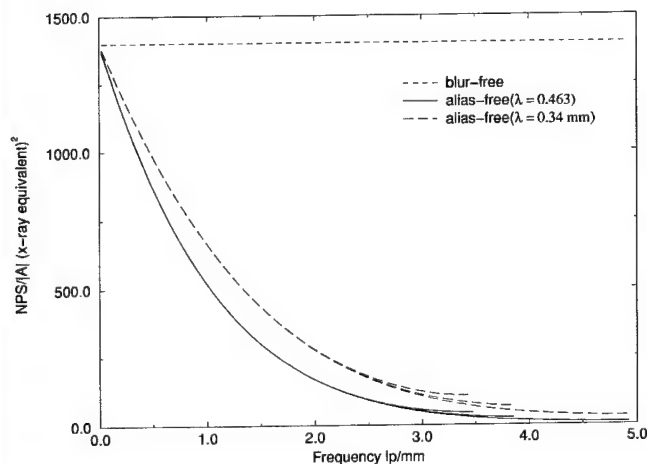


FIG. 3. The Wiener spectra $W(\mathbf{f})/A$ for the three model detectors as in Fig. 2. The residual additive white noise $\Phi|A|/m + W_E/|A|$ has been set to 0.

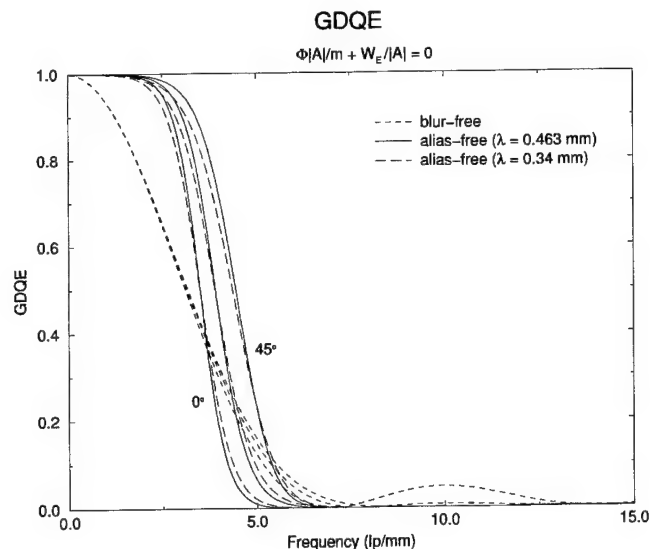


FIG. 4. GDQE as a function of frequency for the three model detectors as in Fig. 2, with the residual white noise $\Phi|A|/m + W_E/|A|$ set to 0.

simply binning each incident x-ray. For the alias-free detectors, there is very little response to frequencies beyond those supported by the lattice. For each detector, three angles are plotted, but the angular dependence for the alias-free detectors is small enough to not be apparent on the graph.

The Wiener spectra are shown in Fig. 3. Again, instead of plotting a function of two variables, f_x and f_y , we plot the NPS as a function of the magnitude of the frequency vector for three angles, $\theta=0, 27$, and 45° (27° corresponds to a slope of 1:2 relative to the lattice). However, the NPS shows little angular dependence. For the Wiener spectrum one only needs to look at frequency values supported by the lattice, i.e., $f_x \in [-1/2L, 1/2L]$ and $f_y \in [-1/2L, 1/2L]$ (for convenience one can consider W to be periodic in the frequency plane). Thus, at $\theta=0^\circ$ one only needs to graph up to $1/2L = 3.5 \text{ mm}^{-1}$, but at $\theta=45^\circ$ the frequencies are on the diagonal of the square, so one goes up to $\sqrt{2}/2L = 4.9 \text{ mm}^{-1}$. At $\theta=27^\circ$, one goes up to $1/(2L \cos \theta) = 4 \text{ mm}^{-1}$. For this graph the constant offset $\Phi|A|/m + W_E/|A|$ has been set to zero. For the blur-free detector, the NPS is flat, which follows mathematically from Eq. (89) and the fact that $T(\mathbf{f})$ for these detectors is simply related to sinc functions, or more physically by noting that for a detector which simply bins incident x-rays adjacent cells will be uncorrelated so the NPS is flat. For the alias-free detectors, the NPS is suppressed by factors of the square of the transfer function.

The GDQE as a function of frequency are shown in Figs. 4–6 for a range of values of the residual white noise $\Phi|A|/m + W_E/|A|$. For each graph, values are plotted as a function of the magnitude of the spatial frequency for angles $0, 27$, and 45° relative to an axis. In each case, the GDQE falls off most quickly at $\theta=0^\circ$ and least quickly at $\theta=45^\circ$, which represents the fact that on the diagonal the sampling rate is increased by a factor of $\sqrt{2}$. In the case where the residual white noise is zero (Fig. 4), the GDQE of the blur-free detector drops like the square of a sinc function. For the

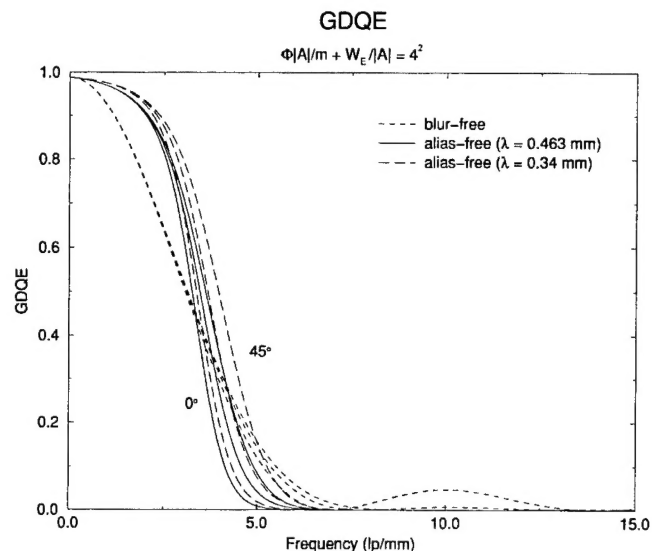


FIG. 5. GDQE as a function of frequency for the three model detectors as in Fig. 2, with the residual white noise $\Phi|A|/m + W_E/|A|$ set to 4^2 .

alias-free detectors, the GDQE remains at nearly unity up to the lattice cutoff, the factor of $T^2(f)$ canceling the same factor in the colored part of the noise. The GDQE of the blur-free detector shows some response beyond the lattice cutoff. Though small, this portion of the GDQE is physical and it will be shown that the responses to the aliased frequencies can not be trivially dismissed. With the addition of residual white noise the GDQE of all three models is reduced, as shown in Fig. 5 ($\Phi|A|/m + W_E/|A| = 4^2$) and Fig. 6 ($\Phi|A|/m + W_E/|A| = 8^2$). These figures illustrate that the alias-free detectors are more sensitive to sources of residual white noise than blur-free detectors. Indeed, in Fig. 6 the blur-free detector now has higher GDQE than the $\lambda = 0.463$ mm detector even at low spatial frequencies. From the spatial point of view this is quite reasonable. A detector

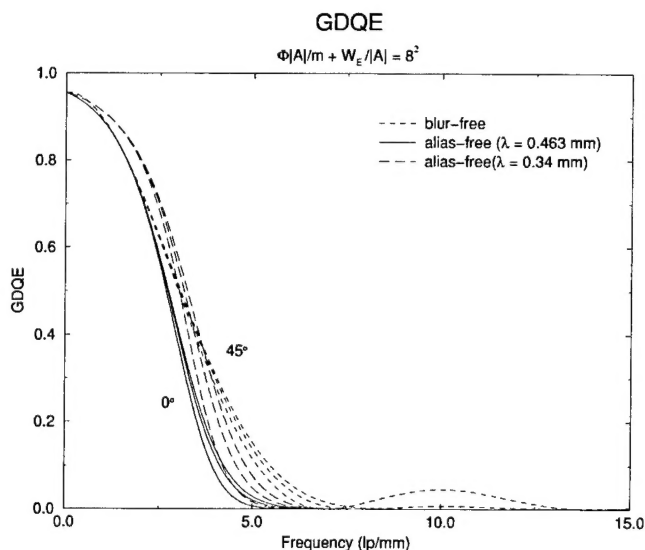


FIG. 6. GDQE as a function of frequency for the three model detectors as in Fig. 2, with the residual white noise $\Phi|A|/m + W_E/|A|$ set to 8^2 .

SNR² as a function of displacement

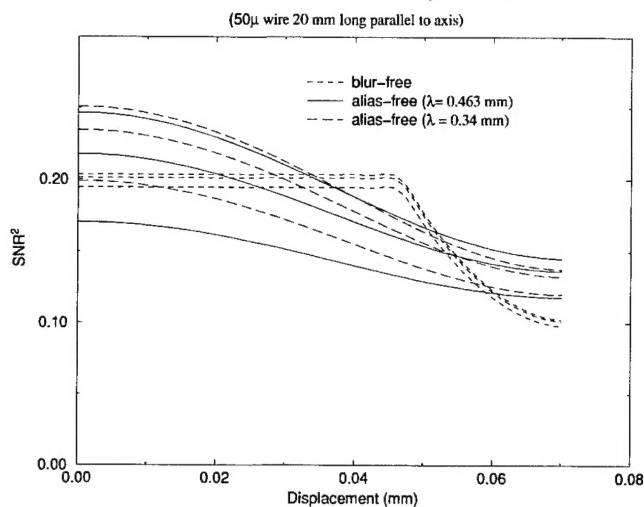


FIG. 7. Relative SNR² as a function of position for a 50 μm wide, 20 mm long wirelike object parallel to one axis of the detector. The horizontal axis of the graph gives the displacement of the wire, so that at 0 mm the wire is over a single column of sensitive elements, while at 0.07 mm the wire straddles two columns. The vertical scale is arbitrary (dependent upon the contrast of the wire).

whose transfer function is designed to remove aliases has a relatively wide point spread function, and therefore a relatively wide autocovariance function. The ideal observer makes use of digital values in array elements whose distance from the position of the signal is up to several times the lengths of the tails of these functions, so for the same input signal the ideal observer will have to integrate over a larger region on an alias-free detector and thus will be more sensitive to any residual uncolored noise.

While GDQE is directly related to the average value of SNR² by Eq. (82), high-frequency signals (i.e., x-ray projection images of small objects or objects whose projected density varies quickly with position) can demonstrate significant changes in SNR² with position. To explore this, consider the SKE/BKE task associated with an object 50 μm wide and 20 mm long. Wires of this width have been used in neurological and cardiovascular stents.⁴⁹ Figure 7 shows the SNR² for such an object, oriented parallel to an axis of the detector, as a function of displacement in the direction of the shorter (50 μm) axis. The scale of the vertical axis is arbitrary as we won't set the inherent contrast of the signal. In Fig. 7, the 0 mm displacement corresponds to the signal being centered over the sensitive elements of the detectors. For the blur-free detector, at 0 mm displacement the signal falls into a single column of detectors, so the SNR² corresponds to counting statistics for one column of elements 20 mm long. The SNR² is constant until the 0.05 mm mark, after which the signal is shared between two columns of detectors, resulting in a drop in SNR². Physically, the number of x-rays attenuated by the object is independent of its position, but at a displacement of 0.7 mm the signal is shared equally between two columns, and since the noise is assumed to be uncorrelated, the variance in the total counts in the two columns is twice that of one column, so the SNR² is

TABLE I. SNR² averaged over position and orientation for the projection image of an object 0.05 by 20 mm. As the SNR² scales with the square of the contrast of the image, only relative values are meaningful. The \pm represent the rms (root-mean-square) fluctuations in the SNR² with position, not the statistical uncertainties.

SNR ² for 50 μ m wide, 20 mm long object			
	$\Phi A /m + W_E/ A =0$	$=4^2$	$=8^2$
Blur-free	1.81 \pm 0.02	1.79 \pm 0.02	1.73 \pm 0.02
Alias-free ($\lambda=0.463$ mm)	2.16 \pm 0.16	1.87 \pm 0.07	1.48 \pm 0.02
Alias-free ($\lambda=0.34$ mm)	2.15 \pm 0.15	1.99 \pm 0.10	1.68 \pm 0.05

reduced by half. The alias-free detectors show less sensitivity to position, as the signal is always shared between multiple columns. As before, curves are shown for three values of the residual white noise $\Phi|A|/m + W_E/|A|$ (0^2 , 4^2 , and 8^2). For all models, the SNR² drops as the residual white noise increases, but this effect is greater for the alias-free models. Table I gives the average SNR² for detection of the 0.05 wide, 20 mm long wire, now averaged over both position and orientation. Additionally, the root-mean-square variation in SNR² is given, to indicate the degree to which the detectability of the wire would vary. Again, the alias-free detectors give a higher SNR² if the residual white noise is kept sufficiently low. In calculating the SNR² of the projection of the wire using Eq. (78), if the summation over aliases is dropped, the resulting integral decreases by about 10%. Thus the contributions of the aliased signal to the SNR are not always negligible.

Somewhat speculatively one can consider tasks which depend upon higher frequency components of the signal.⁵⁰ Consider a 5 mm square with 10% contrast, and a second square whose edges have been smoothed by convolving with a 0.15 mm rect function, so that the resulting signal "ramps up" over a distance of 0.3 mm. The SNR² for the SKE/BKE task of distinguishing between these two objects is given in Table II. It is interesting to note that, mathematically, the SNR² is sufficiently large that the ideal observer can perform this task efficiently, although whether a human could do this is questionable. On the other hand, edge detection is important both computationally and probably as part of the strategy of human observers, so the ability to perform this task is not *a priori* irrelevant. Again, the alias-free detectors have a higher SNR² if the residual white noise is zero, but as the task now depends more heavily on the higher frequency

TABLE II. SNR² averaged over position and orientation for distinguishing between the projection of a 5 mm square with sharp boundaries and a square whose boundaries ramp up over a 0.3 mm region. Normalization corresponds to a 10% contrast with an x-ray flux corresponding to 1400 x-rays/pixel. The \pm represents the rms fluctuations in the SNR² with position, not the statistical uncertainties.

SNR ² for discontinuity vs slope			
	$\Phi A /m + W_E/ A =0$	$=4^2$	$=8^2$
Blur-free	14 \pm 2	14 \pm 2	13 \pm 2
Alias-free ($\lambda=0.463$ mm)	16 \pm 3	12 \pm 1	7.6 \pm 0.5
Alias-free ($\lambda=0.34$ mm)	16 \pm 3	14 \pm 2	10 \pm 1

TABLE III. SNR² averaged over position and orientation for detecting the projection of a 0.5 mm square. Normalization is arbitrary. The \pm represents the rms fluctuations in the SNR² with position, not the statistical uncertainties.

SNR ² for 0.5 mm square			
	$\Phi A /m + W_E/ A =0$	$=4^2$	$=8^2$
Blur-free	1.91 \pm 0.01	1.89 \pm 0.01	1.83 \pm 0.01
Alias-free ($\lambda=0.463$ mm)	2.08 \pm 0.01	1.99 \pm 0.01	1.789 \pm 0.003
Alias-free ($\lambda=0.34$ mm)	2.07 \pm 0.01	2.01 \pm 0.01	1.855 \pm 0.006

components of the signal, the alias-free detectors are more sensitive to residual white noise, with the crossover at $\Phi|A|/m + W_E/|A|=4^2$. The detection of a 0.5 mm square is shown in Table III. Here, the lower (but nonzero) frequencies dominate the response of the detector, so that in general the antialiasing detectors gain from the removal of the aliased noise without losing any signal.

It is interesting to note that the SNRs for the tasks and models described above do not vary greatly. Many factors not considered here will greatly effect the performance of real detectors, beginning with the fact that less than 100% of the incident x-rays will produce secondary quanta. The color of the Wiener spectrum need not be the same as the transfer function, due to, for example, x-rays interacting at various depths in the detector.⁵¹ The efficiency of collection of the secondary quanta can also have significant effects.⁵² For CsI detectors, of which our "alias-free" detector is a rough model, the fill factor is a minor effect so long as the amplification m is sufficiently large.⁴⁸ For selenium detectors, of which our "blur-free" detector is an approximation, it is possible to have an effective fill factor significantly greater than the geometric fill factor of the TFT array.^{53,54} In any case, our purpose here is merely to indicate some of the issues which must be faced in quantifying digital detectors of these types. In addition, we did not consider geometric factors such as x-ray focal spot size and x-ray parallax⁴⁸ which reduce the high-frequency content of the incoming signals.

VIII. DISCUSSION AND CONCLUSION

The results of this paper set up a framework for quantitative measurements of digital systems in a manner analogous to the now common analysis of screen-film systems in terms of the gray-scale transfer characteristic, the optical transfer function, the Wiener spectrum, and signal-to-noise ratio. The logic of this framework is by design close to the classic work on film-screen systems. While many pieces of this argument have appeared in the work of others, as noted throughout the text, it seemed desirable to produce a coherent systematic exposition. The results can be seen as appropriate limits of those of Barrett *et al.*, but the theoretical construction here emphasizes the parallels with the classic results on screen-film systems. While detectors consisting of discrete elements do not have continuous translational symmetry, the remaining discrete symmetry allows one to use the appropriate Fourier technique. The advantage of this, as in the screen-film

case, is that one can explicitly solve for the mask function of the ideal observer [see Eq. (75)] and thereby obtain the optimal SNR. As for screen-film, this formula can be interpreted as the ratio of the square of the signal in each frequency bin to the noise in each frequency bin, as measured by the Wiener spectrum, integrated over bins.

We have investigated several highly idealized, but not completely unrealistic, models of detectors, and illustrated some of the issues inherent in various design decisions. This analysis is incomplete and intended to point toward issues which could be addressed in other work. However, our results suggest that for typical tasks the detectability of objects as determined by SNR^2 is not drastically affected by the decision, in and of itself, to suppress or not to suppress aliases. In any real device, of course, this design decision is linked to many other parameters. This article should be of use in clarifying what is actually experimentally measured in testing such devices.

The results presented here are exact for the SKE/BKE task as approached by the ideal observer under the assumptions of linearity, homogeneity, and stationarity. However, each of these assumptions is only approximately true in practice. The finite extent of real detectors trivially shows that they are not homogeneous, but for a variety of tasks edge effects are negligible. More importantly, many digital detectors in practice show significant inhomogeneity and nonstationarity. The work of Barrett *et al.* is sufficiently general to cover these cases. Further, the inhomogeneity and nonstationarity of a given instrument often occur in ways which are different for each individual device, so that while the extra information is relevant to the particular device one has measured, the extra information is often not generalizable to other devices of the same manufacture. This extra information is useful for optimizing certain tasks using the particular device, but of less use in understanding a class of devices. Additionally, while the signal detection task of the ideal observer under SKE/BKE conditions certainly shares some features with the task which human observers face, and has under many conditions been shown to correlate well with the ability of human observers (for example, screen-film images of nylon beads⁵⁵), it is still a very idealized task. For example, if edge-detection is important, then higher frequency parts of the incoming signal become more important than would be expected given simply the signal detection task. From the point of view of a radiologist, a clear edge might be used to identify and distinguish the existence of a lesion from a variation in projected density of the underlying organ, particularly in the presence of the "structured noise" of other anatomical features.

Clearly these are issues for further study, but while OTF, W, SNR, and GDQE are certainly useful because they are objectively measurable and in a mathematically precise manner are related to tasks which approximate those of the human observer, it is worth remembering that measurement of these quantities does not obviate the need for observer studies, particularly with practicing radiologists.

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